

STATE of NORTH CAROLINA

PY 2020 ACTION PLAN

North Carolina Department of Commerce, Rural Economic Development Division
North Carolina Department of Health and Human Services, Aging and Adult Services
North Carolina Department of Health and Human Services, HIV Care Program
North Carolina Housing Finance Agency, Policy and Research

June 30, 2020



NORTH CAROLINA

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

For program year 2020, the State of North Carolina will receive \$82,913,555 in federal resources from the U.S Department of Housing and Urban Development (HUD). [1]

The five CPD formula programs covered by this Action Plan are as follows: Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), HOME Investment Partnership (HOME), national Housing Trust Fund (HTF), and Housing Opportunities for Persons with AIDS (HOPWA). The designated administrators for NC are the North Carolina Department of Commerce, the North Carolina Housing Finance Agency, the NC Department of Health and Human Services (DHHS) Division of Aging and Adult Services, and the NC DHHS HIV Care Program.

Funds have been increasing for some of our CPD funded programs incrementally (i.e. HOPWA as a result of the Housing Opportunities for Modernization Act, and ESG). Until PY2020, funds for the other programs (CDBG, HOME, and the HTF) have been notably reduced over the past several years, and as resources have decreased, needs have been prioritized and investments have been targeted to achieve the greatest impact on the goals and objectives identified in the state's Consolidated Plan. This year, however, some programs (i.e., CDBG, ESG, and HOPWA) received an increased along with supplemental awards to prevent, prepare for, respond to Coronavirus. Although the PY2019 Plan was amended to include the CARES Act funds, the State anticipates that the COVID-19 pandemic will impact housing and community development needs during the PY2020 for NC communities and priorities for addressing those needs. This year's plan lays out the strategy for using the resources based on the approved 2016-2020 Consolidated Plan.

[1] https://www.hud.gov/program_offices/comm_planning/budget/fy20/

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Although the State cannot meet all housing needs, it can strategically invests available resources to address critical housing and community development needs for North Carolinians.

The state has three basic goals:

- To provide decent and affordable housing;
- To provide a suitable living environment; and
- To expand economic opportunity.

These goals are achieved through the provision of affordable housing, housing-related service needs, creation/retention of jobs, and utility infrastructure improvements. Each agency operates programs that help fulfill these goals while addressing housing and service-related needs statewide. This document, the 2020 Consolidated Annual Action Plan of the State of North Carolina, serves as the annual application to the U.S. Department of Housing and Urban Development (HUD) for funding for the following housing-related projects:

- \$48.5 million in Community Development Block Grant (CDBG) funds, to be administered by the Department of Commerce, Rural Economic Development Division (REDD);
- \$18.2 million in HOME Investment Partnership Program (HOME) funds, and \$3 million in additional HOME match dollars, to be administered by the North Carolina Housing Finance Agency;
- \$7.3 million in national Housing Trust Fund (HTF) funds, to be administered by the North Carolina Housing Finance Agency;
- \$5.3 million in Emergency Solutions Grant (ESG) funds, to be administered by the Department of Health and Human Services, Division of Aging and Adult Services; and
- \$3.6 million in Housing Opportunities for Persons with AIDS (HOPWA) funds, to be administered by the Department of Health and Human Services, HIV Care Program.

For information regarding specific programs, please visit the organization websites:

- Department of Commerce, Rural Economic Development Division (Division of Community Assistance) – www.nccommerce.com
- North Carolina Housing Finance Agency – www.nchfa.com
- NC DHHS HIV Care Program – <http://epi.publichealth.nc.gov/cd/hiv/program.html>
- NC DHHS Division of Aging and Adult Services - <https://www.ncdhhs.gov/divisions/aging-and-adult-services/nc-emergency-solutions-grant>

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The state of North Carolina evaluates its performance annually on meeting the goals of the strategic plan and the action plan. The North Carolina Consolidated Annual Performance and Evaluation Report (CAPER) is generally submitted to HUD on March 31 of each year, 90 days after the close of the Consolidated Plan program year. Due to impacts of COVID-19, the plan was submitted on June 22, 2020.

The most recent past performance and evaluation reports can be downloaded from the Rural Economic Development Division (REDD) website at <https://www.nccommerce.com/rural-development-division/cdbc/con-planscdbg/20162020-conplan.pdf>.

Of the total funding for housing activities to be undertaken in 2020, funds from ESG and HOPWA will address high priority needs, funds from HOME, HTF, and CDBG will address medium priority needs. The anticipated distribution of funding by agency and by priority need category is determined annually based on the five-year goals stated in the Consolidated Plan.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The State of North Carolina has developed a North Carolina Consolidated Plan Citizen Participation Plan. This plan is a guidance document to ensure and encourage public participation throughout the Consolidated Planning process. It sets forth the State's policies and procedures for citizen participation. *Please note that due to the stay-at-home orders in response to COVID-19, the State amended its Citizen Participation Plan on June 8, 2020 to include the HUD waivers to allow virtual public hearings and a public comment period not less than 5 days for specific documents.* The plan can be downloaded from the Commerce website at <https://www.nccommerce.com/documents/nc-consolidated-plan-partners-citizen-participation-plan>.

The State of North Carolina encourages citizens to participate in the planning, implementation, and assessment of the Consolidated Plan for HUD Community Planning and Development programs. This plan describes how this participation is encouraged.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The Annual Action Plan public hearing was held on June 15, 2020. No comments were received during the hearing. One written comment was received from a non-profit organization in support of the draft 2020 AAP, specifically for the HOME program. Suggestions and recommendations were solicited during its development about ways to determine priority needs, measure program effectiveness, and allocate funds. This feedback will be considered in the development of the 2021-2025 Strategic Consolidated Plan.

6. Summary of comments or views not accepted and the reasons for not accepting them

One comment was received and accepted for the PY2020 AAP.

7. Summary

The State will develop an action plan annually for the use of its funds and submit this plan with certifications. The State will receive comments from citizens and units of general local government on the proposed action plan for a period of not less than 30 days from the date when the draft is available to the public. Generally, the comment period is publicized in the notices published in the newspaper and mailed to interested parties. The State originally published to open the comment period and hold the public hearing on March 18, 2020. Due to the national emergency declaration and stay-at home orders because of the COVID-19 pandemic, the State cancelled advertised public hearing. The public hearing and public comment period was rescheduled using HUD waivers that permitted a virtual public hearing and a public comment period of not less than five calendar days. The State posted the 2020 AAP for 30 days and held the virtual public hearing on June 15, 2020. Public comment for this plan began on May 21, 2020 and ended June 21, 2020. The State received one written comment in favor of the plan.

PR-05 Lead & Responsible Agencies - 91.300(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	North Carolina	NC Department of Commerce
HOPWA Administrator		NC Department of Health and Human Services
HOME Administrator	North Carolina	NC Housing Finance Agency
ESG Administrator		NC Department of Health and Human Services
	North Carolina	NC Housing Finance Agency

Table 1 – Responsible Agencies

Narrative

CDBG. Funds are available to local municipal or county governments for projects to enhance the vitality of communities by providing decent housing and suitable living environments and expanding economic opportunities. These grants primarily serve persons of low- and moderate-incomes.

CDBG Infrastructure (CDBG-I). In 2013, the North Carolina General Assembly allocated Community Development Block Grant funds to the Infrastructure program and transferred funds to the Division of Water Infrastructure to administer. The purpose of these funds is to construct public water and sewer infrastructure to mitigate public and environmental health problems in areas where the percentage of low to moderate income persons is at least 51 percent.

CDBG Economic Development (CDBG-ED). CDBG-ED provides grants to local governments for public infrastructure development and is designed to benefit low- and moderate-income persons through job creation.

Disaster Recovery (DR). In response to Presidentially declared disasters, the NC Department of Commerce transitioned the CDBG DR program and activities in July of 2019 to the North Carolina Office of Recovery and Resiliency (NCORR), which is the lead agency of the Disaster Recovery Program for the State.

CDBG Neighborhood Revitalization (CDBG-NR). CDBG-NR grants offer approximately \$10 million to non-entitlement municipalities and counties the opportunity to implement community development needs such as housing and public facility activity projects to the localities primarily for the low to moderate income residents.

CDBG Contingency/Demonstration Projects. Examples of Contingency Projects within CDBG are The NC Main Street and Small-Town Main Street Program(s) designed to revitalize older and historic commercial districts for more than 35 years.

HOPWA. The North Carolina HIV Care Program (HCP) funds 10 Regional Networks of Prevention and Care across the State as part of an HIV Patient Management Prevention and Care Model to provide housing activities to Persons Living With HIV/AIDS.

ESG. NC ESG is a member of the Governor's Inter-Agency Council on Homelessness. The agency also works in collaboration of other state and private non-profit organizations including the North Carolina Coalition to End Homelessness, the North Carolina Housing Coalition, Continuum of Care Organizations, the HMIS Governance Committee, providers and municipalities throughout the state.

HOME. HOME funds are used as a funding source for several programs run by the North Carolina Housing Finance Agency, including the Single Family Rehab Loan Pool (SFRLP), the Rental Production Program (RPP), the Self Help Loan Pool (SHLP), the Supportive Housing Development Program (SHDP), and the Community Partners Loan Pool (CPLP). SFRLP rehabilitates homes owned by elderly and/or disabled persons. RPP finances the development of rental units that receive Low Income Housing Tax Credits (LIHTC). SHLP helps households purchase Habitat for Humanity homes. CPLP offers zero-interest, deferred, second mortgages that are generally combined with NCHFA's NC Home Advantage Mortgage. SHDP develops housing for people who are low-income, disabled and/or have special housing needs.

HTF. HTF will help finance loans through NCHFA's Rental Production Program (RPP) and Integrated Supportive Housing Program (ISHP), which are used in conjunction with Low Income Housing Tax Credits (LIHTC), state-appropriated funds, and other private and local funding. RPP finances the development of rental units that receive LIHTC.

Consolidated Plan Public Contact Information

Consolidated Plan Public Contact Information

Consolidated Planning Coordinator

North Carolina Department of Commerce 4346 Mail Service Center

Raleigh, NC 27699-4346

Tel. (919) 814-4673

TDD 1-800-735-2962

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AP-10 Consultation - 91.110, 91.300(b); 91.315(l)

1. Introduction

The State of North Carolina has developed a North Carolina Consolidated Plan. This plan is a guide that describes our State process for participation, inclusion, and consulting with other public and private agencies that provide assisted housing, health services, social and fair housing services such as the NC Department of Health and Human Services Division of Aging and Division of Public Health, the NC Housing Finance Agency, the Department of Commerce's CDBG program and other entities. Input from these providers via consultation is incorporated into our consolidated planning process. Each agency will continue to reach out to its constituency to solicit feedback on their individual programs.

Provide a concise summary of the state's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies

CDBG. Commerce (CDBG) coordinates HUD-funded activities with public and assisted housing providers, private and governmental health, mental health and service agencies via meeting with the Consolidated Planning Partners in the Division of Aging (ESG), Division of Public Health (HOPWA) and NC Housing Finance Agency (HOME and HTF) in collecting data and information of the HUD activities we will provide to meet the aforementioned needs. Also, through the Public Hearing process as part of our adopted Citizen Participation Plan present proposed efforts to address the needs of the state and to receive comment from the community.

HOPWA. The HOPWA program ensures the maintenance of and collaborative planning for housing in NC through the Regional Networks of Care which include funded and non-funded providers of health, prevention, housing, mental health and substance abuse services. We meet regularly with the other state agencies involved in the Consolidated Planning process (Consolidated Plan Partners) to discuss housing needs both met and unmet and how to ensure full access to affordable, decent and safe housing in areas of increased opportunity. This includes ensuring increased citizen participation, fair housing, Language Access Plans for those we serve with limited English proficiency, and Affirmatively Furthering Fair Housing. The HOPWA program funds 10 HOPWA Project Sponsors that include local health departments, non-profit organizations, and faith-based organizations. The HOPWA program is works closely with the NC HIV Prevention and Care Advisory Committee to ensure that all federally required reports (e.g., NC HOPWA CAPER, Annual Action Plans and Consolidated Plans) are disseminated and reviewed for comment and feedback by the committee members, community stakeholders and people living with HIV (PLWH).

ESG. NC ESG is a member of the Governor's Inter-Agency Council on Homelessness. The agency also works in collaboration of other state and private non-profit organizations including the North Carolina Coalition to End Homelessness, the North Carolina Housing Coalition, Continuum of Care Organizations,

the HMIS Governance Committee, providers and municipalities throughout the state. ESG is in continuous contact with the CoCs and works with these organizations throughout the year as a partner providing technical assistance and monitoring. CoCs play an important role in assuring that allocations are fairly distributed according to need and organizational capacity. CoCs assist NC ESG in helping to develop policy and procedure for the operation and administration of HMIS.

HOME and HTF. The NC Housing Finance Agency works closely with the NC Department of Health and Human Services (DHHS) and the state's network of behavioral health providers (LME/MCOs) to align affordable housing with health services. Through the Targeting Program, the NC Housing Finance Agency requires that a percentage (10-20%) of the units financed each year with the federal Low Income Housing Tax Credit are set aside as integrated, permanent supportive housing units for people with disabilities. DHHS manages the referral process to these units in partnership with local service providers across the state (including LME/MCOs). Through the Transitions to Community Living Initiative, the NC Housing Finance Agency has identified as priority for occupancy in Agency-financed rental properties people with disabilities who are part of the 2012 *Olmstead* Settlement Agreement class. The Agency also administers a rental assistance program (the Transitions to Community Living Voucher, or TCLV) on behalf of DHHS for members of the Settlement population. Other priority populations include people experiencing homelessness and participants in the state's Money Follows the Person (Medicaid) program.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

CDBG. Partners with the CoCs as part of the coordinated efforts with ESG on ensuring that the needs of homeless individuals are met through ensuring affordable housing, infrastructure for public facilities that provide shelter and CDBG Economic Development services through job creation.

HOPWA. HOPWA alone does not meet all of the housing needs for persons living with HIV (PLWH). PLWH that are temporarily housed or that have unstable living arrangements have the worst health outcomes. The HOPWA program participates in the Continuum of Care (CoC) monthly calls, providing input on housing needs across the state and discussing collaborative housing opportunities. As part of the Consolidated Plan Partners, the HOPWA program works closely with ESG on addressing the needs of homeless/chronically homeless individuals living with HIV.

ESG. NC ESG is a member of the Governor's Inter-Agency Council on Homelessness. The agency also works in collaboration of other state and private non-profit organizations including the North Carolina Coalition to End Homelessness, the North Carolina Housing Coalition, Continuum of Care Organizations, the HMIS Governance Committee, providers and municipalities throughout the state

Describe consultation with the Continuum(s) of Care that serves the State in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

ESG. NC ESG is in continuous contact with the CoCs and works with these organizations throughout the year as a partner providing technical assistance and monitoring. CoCs play an important role in assuring that allocations are fairly distributed according to need and organizational capacity. CoCs assist NC ESG in helping to develop policy and procedure for the operation and administration of HMIS.

2. Agencies, groups, organizations and others who participated in the process and consultations

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Charlotte-Mecklenburg Continuum of Care
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	ESG. There are several requirements in the CoC Program interim rule that facilitates coordination between CoC and ConPlan jurisdictions regarding the ConPlan process. Applicant for CoC Program funding are coordinating with ConPlan jurisdictions to ensure that their applications are consistent with the HUD approved Con Plans for the jurisdictions in which the proposed project will be located. Consultation between CoCs and ConPlan jurisdictions, and a CoCs participation in the public comment process as the ConPlan jurisdiction is developing the ConPlan, ensures that the CoCs plan to prevent and end homelessness.
2	Agency/Group/Organization	Continuum of Care
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless Regional organization

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	ESG. There are several requirements in the CoC Program interim rule that facilitates coordination between CoC and ConPlan jurisdictions regarding the ConPlan process. Applicant for CoC Program funding are coordinating with ConPlan jurisdictions to ensure that their applications are consistent with the HUD approved Con Plans for the jurisdictions in which the proposed project will be located. Consultation between CoCs and ConPlan jurisdictions, and a CoCs participation in the public comment process as the ConPlan jurisdiction is developing the ConPlan, ensures that the CoCs plan to prevent and end homelessness.
3	Agency/Group/Organization	Cumberland County Continuum of Care on Homelessness
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	ESG. There are several requirements in the CoC Program interim rule that facilitates coordination between CoC and ConPlan jurisdictions regarding the ConPlan process. Applicant for CoC Program funding are coordinating with ConPlan jurisdictions to ensure that their applications are consistent with the HUD approved Con Plans for the jurisdictions in which the proposed project will be located. Consultation between CoCs and ConPlan jurisdictions, and a CoCs participation in the public comment process as the ConPlan jurisdiction is developing the ConPlan, ensures that the CoCs plan to prevent and end homelessness.
4	Agency/Group/Organization	Durham City and County Continuum of Care
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	ESG. There are several requirements in the CoC Program interim rule that facilitates coordination between CoC and ConPlan jurisdictions regarding the ConPlan process. Applicant for CoC Program funding are coordinating with ConPlan jurisdictions to ensure that their applications are consistent with the HUD approved Con Plans for the jurisdictions in which the proposed project will be located. Consultation between CoCs and ConPlan jurisdictions, and a CoCs participation in the public comment process as the ConPlan jurisdiction is developing the ConPlan, ensures that the CoCs plan to prevent and end homelessness.
5	Agency/Group/Organization	Guilford County Continuum of Care
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	ESG. There are several requirements in the CoC Program interim rule that facilitates coordination between CoC and ConPlan jurisdictions regarding the ConPlan process. Applicant for CoC Program funding are coordinating with ConPlan jurisdictions to ensure that their applications are consistent with the HUD approved Con Plans for the jurisdictions in which the proposed project will be located. Consultation between CoCs and ConPlan jurisdictions, and a CoCs participation in the public comment process as the ConPlan jurisdiction is developing the ConPlan, ensures that the CoCs plan to prevent and end homelessness.
6	Agency/Group/Organization	NC Balance of State COC
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy

	<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>ESG. There are several requirements in the CoC Program interim rule that facilitates coordination between CoC and ConPlan jurisdictions regarding the ConPlan process. Applicant for CoC Program funding are coordinating with ConPlan jurisdictions to ensure that their applications are consistent with the HUD approved Con Plans for the jurisdictions in which the proposed project will be located. Consultation between CoCs and ConPlan jurisdictions, and a CoCs participation in the public comment process as the ConPlan jurisdiction is developing the ConPlan, ensures that the CoCs plan to prevent and end homelessness.</p>
7	<p>Agency/Group/Organization</p>	<p>NC HIV/STD Prevention and Care Advisory Committee</p>
	<p>Agency/Group/Organization Type</p>	<p>Services-Persons with HIV/AIDS Planning organization</p>
	<p>What section of the Plan was addressed by Consultation?</p>	<p>HOPWA Strategy</p>
	<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>NC HIV/STD Prevention and Care Advisory Committee (HPCAC) provides consultation to NC-DHHS Communicable Disease Branch's HOPWA program. The HPCAC is made up of 33 members representing each of the Regional Networks of Care and Prevention and four at large members; it includes community stakeholders, consumers, providers of care and various human services to Persons Living with HIV/AIDS (PLWHA). The Regional Networks of Care have the goals to achieve housing stability for PLWHA and to improve health outcomes through achieving HIV Viral Suppression. The HPCAC (and other members of the Regional Networks of Care) provide comment and input on the Annual Action Plans and Consolidated Plans on affordable housing options for PLWHA to improve health outcomes.</p>

8	Agency/Group/Organization	North Carolina Housing Coalition
	Agency/Group/Organization Type	Housing Statewide Advocacy Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The NC Housing Finance Agency and NC DHHS Division of Aging and Adult Services (ESG Program) both seek the consultation of the NC Housing Coalition on statewide housing needs and priorities.
9	Agency/Group/Organization	North Carolina Coalition to End Homelessness
	Agency/Group/Organization Type	Housing Services - Housing Services-Victims of Domestic Violence Services-homeless

What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Market Analysis
Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The NC Housing Finance Agency and NC DHHS Division of Aging and Adult Services (ESG Program) both seek the consultation of the NC Coalition to End Homelessness on statewide homelessness services and housing needs/priorities.

Identify any Agency Types not consulted and provide rationale for not consulting

No agency(ies) were omitted from participating in the process.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	NC DHHS ESG	Applicants for CoC Program funding are coordinating with ConPlan jurisdictions to ensure that their applications are consistent with the HUD approved Con Plans for the jurisdictions in which the proposed project will be located.

Table 3 - Other local / regional / federal planning efforts

Narrative

The Consolidated Plan Partners sent out a survey to over partner organizations requesting input on the Annual Action Plan. We received 37 responses from stakeholders ranging from city/county staff, housing developers, shelters, and services providers.

AP-12 Participation - 91.115, 91.300(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The State of North Carolina has developed a North Carolina Consolidated Plan Citizen Participation Plan. This plan is a guide to ensure and encourage public participation throughout the Consolidated Planning process. It sets forth the State's policies and procedures for citizen participation. The plan can be downloaded from the Commerce website at <https://www.nccommerce.com/documents/nc-consolidated-plan-partners-citizen-participation-plan>.

The State of North Carolina encourages citizens to participate in the planning, implementation, and assessment of the Consolidated Plan for HUD Community Planning and Development programs. This plan describes how this participation is encouraged. Live and recorded webinar access is also provided.

Citizens may make comments or request information regarding the Consolidated Plan by telephone, mail, or facsimile transmission to the Consolidated Planning Coordinator, North Carolina Department of Commerce, 4346 Mail Service Center, Raleigh, North Carolina 27699-4313; Tel. (919) 814-4673; TDD 1-800-735-2962; Fax (919) 715-0567. The State will respond in the Consolidated Plan or performance report, as appropriate, to written comments received.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Public Hearing	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing	Included in Citizen Participation Outreach Summary.	All comments are included.	All comments are included.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
2	Public Meeting	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing housing and other human services providers	Not held due to COVID-19 pandemic stay-at-home orders. See Citizen Participation Outreach Summary.			

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
3	Newspaper Ad	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing housing and other human services providers	Not applicable	Not applicable	Not applicable	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.320(c)(1,2)

Introduction

All of the HUD funded partners in North Carolina work together to address the economic development, job creation, water/infrastructure and affordable housing needs in the state. Our partnerships are expanded to ensure the participation of community stakeholders, community members, low- to moderate-income individuals, PLWHA and their families, faith-based organizations, universities and the health care system, all of whom dedicate resources to the greatest extent possible to maximize resource.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	48,537,754	0	47,529,379	96,067,133	96,067,133	These funds are used for a variety of public improvements, public services, and activities to further economic development and affordable housing.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	18,171,161	8,000,000	0	26,171,161	0	These funds are used for a variety of activities to create, improve, and finance affordable housing.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	3,610,021	0	3,264,956	6,874,977	6,874,977	These funds are used for affordable housing and supportive services for persons with HIV/AIDS.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	5,342,722	0	5,201,091	10,543,813	10,543,813	These funds are used for a variety of housing solutions for households who are homeless or at risk of becoming homeless
HTF	public - federal	Acquisition Admin and Planning Homebuyer assistance Multifamily rental new construction Multifamily rental rehab New construction for ownership	7,251,897	0	0	7,251,897	0	These funds are used for a variety of activities to create, improve, and finance affordable housing.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

CDBG, HOME, HTF, ESG and HOPWA funds are leveraged with other sources such as other federal and state program funds, in-kind, state revolving funds, private and non-profits.

CDBG. CDBG funds will be leveraged with other sources to further address gaps in services and disaster recovery efforts. All CDBG leveraging efforts will be closely monitored to ensure there are no duplication of services. CDBG funds are awarded to local units of government that leverage CDBG dollars with private funding of developers of single and multi-family units, CDBG funds provide infrastructure support (water/sewer, lead-based paint abatement, etc.) and are leveraged with disaster recovery funding to address the housing rehabilitation needs to jurisdictions impacted by the storms.

HOME. HOME funds that help finance loans for NCHFA's Rental Production Program (RPP) are used in conjunction with Low Income Housing Tax Credits, state-appropriated Workforce Housing Loan Program funds, and other private and local funding. In NCHFA's Community Partners Loan Pool (CPLP), HOME funds finance second mortgages that are combined with NCHFA's NC Home Advantage Mortgage program or a USDA Section 502 Loan. HOME-funded Self-Help Loan Pool (SHLP) loans are used to complement funds from Habitat for Humanity or other sources. In the Supportive Housing Development Program (SHDP), HOME funds provide permanent financing that works in conjunction with other debt and equity sources to fund supportive housing developments.

HTF. HTF funds that help finance loans for NCHFA's RPP and/or ISHP program are used in conjunction with Low Income Housing Tax Credits, state-appropriated Workforce Housing Loan Program funds, and other private and local funding.

HOPWA. HOPWA funding does not require a state match but, HOPWA funding is leveraged with other sources. The other sources most utilized to leverage HOPWA funds are:

1. Ryan White Part B (Emergency Financial Assistance),
2. Other HUD funded housing programs such as Section 8, Shelter plus Care, Veteran Housing,

3. Tenant Contribution towards rent,

4. In-kind, and

5. Other local, state and non-profit programs.

ESG. The Emergency Solutions Grants Program leverages these funds through the provision of grants and loans to develop and operate shelters, supportive and permanent housing. ESG funding is also leveraged to combat chronic homelessness through the provision of preventive programs and activities by the Supportive Housing Development Program (SHDP) and Housing Opportunities for Persons with AIDS Program (HOPWA). SHDP helps partners develop permanent housing for persons with disabilities; and HOPWA provides tenant-based rental assistance, short-term mortgage and utility assistance, housing information, supportive services and resource identification to persons with HIV/AIDS. ESG funding is a dollar for dollar match. Local non-profits and units of government use cash, non-cash (in-kind), donated value of a building/materials and or volunteer hours to match ESG funding.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

CDBG. CDBG funding may be used to assist in the rehabilitation efforts needed to public facilities owned by local units of government and non-profit agencies with facilities opened to the general public such as Senior Centers, Housing for the homeless, housing and shelter for victims of domestic violence, transitional housing facilities, community/neighborhood/recreation facilities and parks.

HOPWA. The NC HOPWA Project Sponsors may use Resource Identification and/or other sources to identify landlords, facility-based housing and public housing organizations to establish relationships and increase landlord participation in the HOPWA Tenant-Based Rental Assistance Program to expand affordable housing options for persons living with HIV/AIDS.

Discussion

ESG. The Emergency Solutions Grants Program works in concert with the state-funded NC Key Program. ESG funding is also leveraged to combat chronic homelessness through the provision of preventive programs and activities by the Supportive Housing Development Program (SHDP) and Housing Opportunities for Persons with AIDS Program (HOPWA). SHDP helps partners develop permanent housing for persons with disabilities; Key provides operating subsidies for housing for persons with disabilities; and HOPWA provides tenant based rental assistance, short-term mortgage and utility assistance, housing information, supportive services and resource identification to persons with HIV/AIDS. ESG funding is a dollar for dollar match. Local non-profits and units of government use cash, non-cash (in-kind), donated value of a building, materials, and/or volunteer hours to match ESG funding. In some years, DHHS will provide match for all participating agencies.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives – 91.320(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	NCHFA Single Family Rehab Units	2016	2020	Non-Homeless Special Needs	Statewide	Housing for Non-homeless Persons w/ Special Needs	HOME: \$6,440,689	Homeowner Housing Rehabilitated: 175 Household Housing Unit
2	NCHFA Multifamily Units	2016	2020	Affordable Housing	Statewide	Housing for Non-homeless Persons w/ Special Needs Housing for households 31-60% AMI Housing for households under 30% AMI	HOME: \$4,132,523 HTF: \$6,526,707	Rental units constructed: 788 Household Housing Unit
3	NCHFA Homeownership Units	2016	2020	Affordable Housing	Statewide	Housing for households 31-60% AMI Housing for households 61-80% AMI	HOME: \$12,980,832	Direct Financial Assistance to Homebuyers: 400 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	HOPWA -Tenant-Based Rental Assistance	2016	2020	Affordable Housing Non-Homeless Special Needs	Statewide	Housing for Non-homeless Persons w/ Special Needs	HOPWA: \$1,539,295	Housing for People with HIV/AIDS added: 254 Household Housing Unit
5	HOPWA Short-Term Rent, Mortgage and Utilities	2016	2020	Affordable Housing Public Housing Non-Homeless Special Needs	Statewide	Housing for Non-homeless Persons w/ Special Needs	HOPWA: \$270,010	Homelessness Prevention: 431 Persons Assisted
6	HOPWA- Supportive Services	2016	2020	Non-Homeless Special Needs	Statewide	Housing for Non-homeless Persons w/ Special Needs	HOPWA: \$142,761	Homelessness Prevention: 531 Persons Assisted
7	HOPWA-Permanent Housing Placement	2016	2020	Non-Homeless Special Needs	Statewide	Housing for Homeless Families and Individuals Housing for Non-homeless Persons w/ Special Needs	HOPWA: \$37,005	Homelessness Prevention: 52 Persons Assisted
8	HOPWA-Resource Identification/Housing Information	2016	2020	Non-Homeless Special Needs	Statewide	Housing for Non-homeless Persons w/ Special Needs	HOPWA: \$34,271	Housing for People with HIV/AIDS added: 83 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
9	CDBG - Economic Development	2016	2020	Non-Housing Community Development	Statewide	Housing for households 31-60% AMI Housing for households 61-80% AMI	CDBG: \$10,000,000	Jobs created/retained: 1310 Jobs
10	CDBG - Infrastructure	2016	2020	Non-Housing Community Development	Statewide	Housing for households 31-60% AMI Housing for households 61-80% AMI Housing for households under 30% AMI	CDBG: \$20,000,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 20000 Persons Assisted
11	CDBG - Neighborhood Revitalization	2018	2020	Affordable Housing	Statewide	Housing for households 31-60% AMI Housing for households 61-80% AMI Housing for households under 30% AMI	CDBG: \$10,000,000	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 10 Households Assisted Homeowner Housing Rehabilitated: 300 Household Housing Unit
12	ESG - Assist those at risk of homelessness	2016	2020	Homeless	Statewide	Housing for households under 30% AMI	ESG: \$308,281	Homelessness Prevention: 211 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
13	ESG - Financial Assistance to Homeless	2016	2020	Homeless	Statewide	Housing for Homeless Families and Individuals	ESG: \$7,168,610	Tenant-based rental assistance / Rapid Rehousing: 5924 Households Assisted

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	NCHFA Single Family Rehab Units
	Goal Description	Provide essential and critical home rehabilitation to homeowners earning up to 80% of area median income who are elderly, disabled, and/or qualified veterans or have other special housing needs.
2	Goal Name	NCHFA Multifamily Units
	Goal Description	Provide long-term financing for rental developments financed with the Housing Credit, which provide housing for households earning up to 60% of area median income.
3	Goal Name	NCHFA Homeownership Units
	Goal Description	Provide affordable mortgages and/or down payment assistance, through community partners, to home buyers earning up to 80% of area median income.
4	Goal Name	HOPWA -Tenant-Based Rental Assistance
	Goal Description	Obtain permanent housing that is safe, decent and affordable for persons living with HIV and their families within each Network of Prevention and Care in North Carolina.

5	Goal Name	HOPWA Short-Term Rent, Mortgage and Utilities
	Goal Description	Provide short-term rent, mortgage and utility assistance to prevent homelessness and assist persons living with HIV and their families maintain housing.
6	Goal Name	HOPWA- Supportive Services
	Goal Description	Provide services that help HOPWA program participants stabilize their living situations and address care needs of persons living with HIV.
7	Goal Name	HOPWA-Permanent Housing Placement
	Goal Description	Provide assistance with housing placement costs to assist persons living with HIV to secure housing and prevent homelessness.
8	Goal Name	HOPWA-Resource Identification/Housing Information
	Goal Description	Identify and locate available, affordable and appropriate housing units for persons living with HIV in order to prevent homelessness.
9	Goal Name	CDBG - Economic Development
	Goal Description	The CDBG- ED program funds will continue to aid in the opportunities for job creation.
10	Goal Name	CDBG - Infrastructure
	Goal Description	These funds will construct public water and sewer infrastructure to mitigate public and environmental health problems in areas where the percentage of low to moderate income persons is at least 51 percent.
11	Goal Name	CDBG - Neighborhood Revitalization
	Goal Description	Housing and Public Facility Activities for Low/Moderate Income Households.
12	Goal Name	ESG - Assist those at risk of homelessness
	Goal Description	Homelessness Prevention prevents households from becoming literally homeless.

13	Goal Name	ESG - Financial Assistance to Homeless
	Goal Description	Assist those at risk of homelessness

AP-25 Allocation Priorities – 91.320(d)

Introduction:

For the 2020 Program Year, the State of North Carolina estimates it will receive federal resources from the U.S Department of Housing and Urban Development (HUD) equal to the funding amount for 2019, or approximately \$80 million. The five programs covered by this Action Plan are as follows: Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), HOME Investment Partnership (HOME), Housing Opportunities for Persons with AIDS (HOPWA), and the Federal Housing Trust Fund (HTF). Funds for these programs have been significantly reduced over the past several years, and as resources have decreased, needs have been prioritized and investments have been targeted to achieve the greatest impact on the goals and objectives identified in the state’s Consolidated Plan.

Funding Allocation Priorities

	NCHFA Single Family Rehab Units (%)	NCHFA Multifamily Units (%)	NCHFA Homeownership Units (%)	HOPWA - Tenant- Based Rental Assistance (%)	HOPWA Short- Term Rent, Mortgage and Utilities (%)	HOPWA- Supportive Services (%)	HOPWA- Permanent Housing Placement (%)	HOPWA-Resource Identification/Housing Information (%)	CDBG - Economic Development (%)	CDBG - Infrastructure (%)	CD Neighb Revita (%)
CDBG	0	0	0	0	0	0	0	0	23	54	
HOME	25	13	50	0	0	0	0	0	0	0	
HOPWA	0	0	0	76	13	7	2	2	0	0	
ESG	0	0	0	0	0	0	0	0	0	0	
HTF	0	90	0	0	0	0	0	0	0	0	

Table 7 – Funding Allocation Priorities

Reason for Allocation Priorities

The allocation priorities are established based on data and information collected from the community, stakeholders and organizations that are outline in the five-year plan. Addressing the infrastructure, disaster recovery, housing needs, economic development needs through job creation

needs, downtown revitalization, homelessness, People Living with HIV/AIDS are paramount to the sustainability and upward growth of the State of North Carolina. We do so through the Consolidated Plan Partners administration of CDBG (Economic Development, Disaster Recovery, Neighborhood Revitalization) programs, the Division of Aging's Emergency Solutions Grant (ESG) Homelessness Prevention program, the NC Housing Finance Agency's HOME Investment Partnership/Housing Trust Fund, and the Communicable Disease Branch's Housing Opportunities for Persons with AIDS program.

How will the proposed distribution of funds will address the priority needs and specific objectives described in the Consolidated Plan?

CDBG Economic Development (CDBG-ED). The Community Development Block Grant for Economic Development (CDBG-ED) will continue to provide grants to local governments that partner with a pro- profit business to bring public infrastructure improvements and building renovation services. The CDBG- ED program funds will continue to aid in the opportunities for job creation. All grants are awarded by a 15-member appointed Rural Infrastructure Authority.

CDBG- Neighborhood Revitalization (CDBG-NR). The State CDBG program currently has Neighborhood Revitalization funding opportunities available to local units of government. Notices of Funding Opportunities are being distributed widely throughout the state for eligible, non-entitlement counties and municipalities to submit applications (proposals). These funding opportunities are competitive and are designed to revitalize communities' area-wide that are at least 51% low and moderate-income (LMI) persons and direct activities benefit 100% low and moderate-income (LMI) persons.

CDBG Infrastructure (CDBG-I). These funds will construct public water and sewer infrastructure to mitigate public and environmental health problems in areas where the percentage of low to moderate income persons is at least 51 percent.

CDBG Contingency Grants. The NC Main Street Center currently provides downtown development assistance through the NC Main Street and the Small-Town Main Street programs.

HOME. Through NCHFA Single Family Rehab and NCHFA Multifamily activities, HOME funds are used to address housing for non-homeless persons with special needs, a high priority need that was identified in the Consolidated Plan. Through NCHFA Multifamily activities, HOME funds are used to address housing for households under 30% AMI, another high priority need. Through NCHFA Multifamily and Homeownership activities, HOME funds are used to address housing for households earning 31-60% AMI, a lower priority need. Through NCHFA Homeownership

activities, HOME funds are used to address housing for households earning 61-80% AMI, another lower priority need.

HTF. Through NCHFA Multifamily activities, HTF funds are used to address housing for non-homeless persons with special needs and housing for households under 30% AMI, both high priority needs identified in the Consolidated Plan.

HOPWA. The HOPWA program is instrumental in providing permanent housing options utilizing TBRA, STRMU, PHP and SS. Each of these activities ensures available, affordable, and appropriate housing in areas of increased opportunities. TBRA is our most utilized HOPWA service which provides permanent housing for eligible households that are unstably housed, homeless or at risk of becoming homeless.

AP-30 Methods of Distribution – 91.320(d)&(k)

Introduction:

Each HUD-funded agency establishes its own Method of Distribution based on priority needs from the Housing Needs Survey and Market Analysis data.

Distribution Methods

Table 8 - Distribution Methods by State Program

1	State Program Name:	CDBG
	Funding Sources:	CDBG
	Describe the state program addressed by the Method of Distribution.	The programs included for 2020 are the Economic Development Program, the Infrastructure Program, and the Neighborhood Revitalization Program. The Economic Development Program has the goal to spur economic development through job creation in the rural jurisdictions of our state. The Infrastructure Program addresses the water and sewer needs in the rural jurisdictions of our state and the Neighborhood Revitalization Program addresses the housing and public facilities needs of the rural jurisdictions in our state. The CDBG program follows the federal guidance outlined in 2 CFR, Part 200 and the State of North Carolina's guidance outlined in 4 North Carolina Administrative Code 19L to guide the method of distribution process such as Notice of Funding Opportunities, Request for Proposals and Requests for Applications competitively.

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>CDBG reviews, rates and select applicants competitively for the Neighborhood Revitalization program. Each grantee will be selected based on the number of LMI served, capacity, feasibility, and project design related to sustainability and resiliency in storm impacted areas. The Economic Development category has a preliminary application meeting to determine project worthiness based on CDBG ED requirements. Each CDBG ED application is reviewed based on the following criteria, operator capability, project impact, project completion, substantial benefit to LMI, public benefit standard, leverage, compliance with CDBG regulations. The CDBG-Infrastructure Section of the CDBG Program managed by the NC Department of Environmental Quality (NCDEQ)'s Water Infrastructure Division administers a competitive selection process, whereby each grant application is reviewed according to a written procedure.</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>The Program information, manuals and forms are listed on the Commerce and Department of Environmental Quality websites. CDBG also maintain an email list of local units of governments, Council of Governments and other organizations that receive information directly by email.</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>The NC Emergency Solutions Grant Programs uses the formula of the HUD Pro Rata Share to determine available funding to CoCs/Regions. Local units of government, and non-profit organizations including community and faith-based organizations can submit a Project Application to CoCs/Regions. Upon doing so, CoCs/Regions recommend projects for funding in the NC Emergency Solutions Grants Request for Application. NC ESG staff then review and score Regional and Project Applications for minimum threshold criteria.</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>Not applicable. The HOPWA program is administered through the NC Communicable Disease Branch/Division of Public Health's HIV Care and Prevention Program.</p>

<p>Describe how resources will be allocated among funding categories.</p>	<p>Resources are allocated based upon needs assessed in the community and by analyzing the utilization of services, and gaps in services to ensure to the greatest extent possible the needs of the community are met.</p>
<p>Describe threshold factors and grant size limits.</p>	<p>Depending upon the CDBG program there are monetary thresholds on the amount of funds that may be requested per CDBG program. Applicants must be a non-entitlement local unit of government; cannot be on the Federal or State of North Carolina's Suspension of Funds List or Debarred, proposed projects; must address and meet a CDBG National Objective; and proposed funding cannot exceed the established caps per program area.</p>

	What are the outcome measures expected as a result of the method of distribution?	The outcome measures are: increased economic opportunity, improved quality of life, and pathway to housing opportunities provided. CDBG outcome measures as a result of the method of distribution is for proposed projects to meet a CDBG National Objective: 1) Low-to-Moderate Income, 2) Address Slum and Blighted and 3) Urgent Need.
2	State Program Name:	Emergency Solutions Grant (ESG)
	Funding Sources:	ESG
	Describe the state program addressed by the Method of Distribution.	The ESG program is designed as the first step in the continuum of assistance to prevent homelessness and to enable the homeless population to move steadily toward independent living. ESG funds may be used for five program components: street outreach, emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS; as well as administrative activities. The total amount of the recipient's fiscal year grant that may be used for street outreach and emergency shelter activities cannot exceed the greater of: (1) 60 percent of the recipient's fiscal year grant; or (2) The amount of Fiscal Year 2010 grant funds committed for homeless assistance activities. 24 CFR 576.100

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>The U.S. Department of Housing and Urban Development allocated \$5,201,091 to the NC State ESG Program. A portion, 7.5% or \$390,082 is for admin costs incurred by the State or subrecipients that are units of local government. The remaining funds (\$4,811,009) will be distributed to subrecipients for program costs. The amount that each Local Planning Area is eligible to apply for is the “NC ESG Fair Share”.</p> <p>No more than 60% of funds can be used for Emergency Response Services (Street Outreach and Emergency Shelter). While there is a maximum percent for Emergency Services, there is no maximum for Housing Stability (Rapid Re-Housing, HMIS, and Targeted Prevention). This means a Local Planning Area may choose to apply for the full 60% of Emergency Response funding or use some of that funding for Housing Stability Activities.</p> <p>To establish a fair distribution of program funds, the NC ESG Program uses the Department of Housing and Urban Development’s (HUD) Continuum of Care (CoC) Pro Rata Formula as the basis for determining the amount of eligible funding for each Local Planning Area. Six entitlement communities receive ESG funding directly from HUD. The allocation that these entitlements receive from HUD are considered when determining each Local Planning Area’s eligible amount. The CoC Pro Rata percentage is multiplied by the total amount of ESG funds allocated to North Carolina (NC State ESG + entitlements). This is the total amount that each Local Planning Area should have as its fair share of ESG funding.</p> <p>For communities that do not receive entitlement funding from HUD, the full amount will come from the NC ESG Program. For entitlement communities, the amount received directly from HUD will be subtracted from their fair share, so only a portion of the fair share is awarded through the NC ESG Program. The chart below shows the total fair share for each entitlement community with the amount of program funding administered by the local government and the NC ESG Program.</p>
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<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>Not applicable. CDBG is administered through the NC Department of Commerce and is addressed in the fields of this report designated for CDBG.</p>
<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>The U.S. Department of Housing and Urban Development allocated \$5,201,091 to the NC State ESG Program. A portion, 7.5% or \$390,082, is for admin costs incurred by the State or subrecipients that are units of local government. The remaining funds (\$4,811,009) will be distributed to subrecipients for program costs. The amount that each Local Planning Area is eligible to apply for is the “NC ESG Fair Share”. NC ESG staff review and score Regional and Project Applications for minimum threshold criteria.</p>

<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>Not applicable. The HOPWA program is administered through the NC Communicable Disease Branch/Division of Public Health's HIV Care and Prevention Program.</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>No more than 60% of funds can be used for Emergency Response Services (Street Outreach and Emergency Shelter). While there is a maximum percent for Emergency Services, there is no maximum for Housing Stability (Rapid Re-Housing, HMIS, and Targeted Prevention). This means a Local Planning Area may choose to apply for the full 60% of Emergency Response funding or use some of that funding for Housing Stability Activities. The NC Emergency Solutions Grants Programs uses the formula of the HUD Pro Rata Share to determine available funding to Continuums of Care / Local Planning Areas (CoCs / LPAs).</p>

	Describe threshold factors and grant size limits.	While there is a maximum percent for Emergency Services, there is no maximum for Housing Stability (Rapid Re-Housing, HMIS, and Targeted Prevention). This means a Local Planning Area may choose to apply for the full 60% of Emergency Response funding or use some of that funding for Housing Stability Activities. The NC Emergency Solutions Grants Programs uses the formula of the HUD Pro Rata Share to determine available funding to Continuums of Care / Local Planning Areas (CoCs / LPAs).
	What are the outcome measures expected as a result of the method of distribution?	The outcome measures for ESG are to reach the following goals: 1. Improve the number and quality of emergency shelters, 2. Provide essential services to shelter residents, 3. Re-house homeless individuals, and 4. Prevent families and individuals from becoming homeless.
3	State Program Name:	HOME
	Funding Sources:	HOME

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>HOME funds are used as a funding source for several programs run by the North Carolina Housing Finance Agency, including the Single-Family Rehab Loan Pool (SFRLP), the Rental Production Program (RPP), the Self-Help Loan Pool (SHLP), the Supportive Housing Development Program (SHDP), and the Community Partners Loan Pool (CPLP). SFRLP rehabilitates homes owned by elderly and/or disabled persons. RPP finances the development of rental units that receive LIHTC. SHLP helps enable households to purchase Habitat for Humanity homes. CPLP helps offers zero-interest, deferred, second mortgages that are generally combined with NCHFA's NC Home Advantage Mortgage. SHDP develops housing for people who are low income and disabled and/or have special housing needs.</p>
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<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>SFRLP. The SFRLP program is run through local government or nonprofit partners, who apply to NCHFA to be a loan pool member. Partners are able to access NCHFA funds that are used to provide interest-free, deferred loans to eligible homeowners. Partners receive at least \$190,000 each and may use up to \$30,000 per unit for construction hard costs. For more information on program guidelines, visit: https://www.nchfa.com/homeownership-partners/community-partners/community-programs/single-family-rehabilitation-loan-pool/forms-and-resources.</p> <p>SHLP. The SHLP program is run through local self-help housing nonprofit partners (usually Habitat for Humanity affiliates), who apply to NCHFA to be a loan pool member. Partners are able to access NCHFA funding (up to \$35,000 per home buyer), which is combined with their own financing to provide a single, interest-free amortizing loan with a 20-33 year term to eligible home buyers. For more information on program guidelines, visit: https://www.nchfa.com/homeownership-partners/community-partners/community-programs/self-help-loan-pool/forms-and-resources.</p> <p>CPLP. The CPLP program is run through local government or nonprofit partners, who apply to NCHFA to be a loan pool member. Partners are able to access NCHFA funds that are used to provide interest-free, deferred second mortgages to eligible home buyers equal to up to 20% of purchase price when combined with a NC Home Advantage Mortgage™ or up to 10% when combined with a USDA Section 502 loan with term matching first mortgage not to exceed \$30,000. Funds may also be used with other loans. For more information on program guidelines, visit: https://www.nchfa.com/homeownership-partners/community-partners/community-programs/community-partners-loan-pool/forms-and-resources.</p> <p>RPP. The RPP program provides amortizing or deferred loans of up to \$800,000 per project, with interest rate of 2% or lower and term up to 20 years, to rental developers receiving Housing Credit financing who are eligible per NC’s Qualified Allocation Plan. The goal of RPP is to finance housing for households with incomes up to 60% of area median income. For more information about the application process and criteria for developers to receive Housing Credit awards and RPP financing, see the state's Qualified Allocation Plan (QAP): https://www.nchfa.com/rental-housing-partners/rental-developers/qualified-allocation-plan.</p>
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	<p>SHDP. The SHDP program provides amortizing or deferred loans up to \$700,000 or 70% of project costs for projects in rural areas (whichever is less), or \$600,000 or 60% of costs in entitlement cities, with a term of 20–30 years, to eligible local governments, nonprofits, and regional councils so that they can develop emergency and permanent supportive housing for people experiencing homelessness or with supportive housing needs who earn up to 50% of area median income. For information about the application process and criteria, visit: https://www.nchfa.com/rental-housing-partners/supportive-housing-developers/fund-development.</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>Not applicable. CDBG is administered through the North Carolina Department of Commerce and is addressed in the fields of this report designated for CDBG.</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>Not applicable. ESG is administered through the Division of Aging under the North Carolina Department of Health and Human Services (DHHS) in the ESG section of this report.</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>Not applicable. HOPWA is administered through the Division of Public Health under the North Carolina Department of Health and Human Services (DHHS) and is addressed in the HOPWA section of this report.</p>

<p>Describe how resources will be allocated among funding categories.</p>	<p>RPPs are awarded according to the Qualified Action Plan process as required for all LIHTC awards. For SFRLP, one applicant per county is awarded a set-aside for the rehabilitation of eligible units, with the option of receiving additional funds on a first-come, first-served basis. For CPLP and SHLP, funds are distributed through nonprofits and local government agencies throughout the state. If HOME is used for SHDP, funds are awarded through an annual application process to eligible organizations. For each program, program guidelines are developed and used to fully explain the home buyer programs and set forth eligibility criteria.</p>
<p>Describe threshold factors and grant size limits.</p>	<p>No grants are issued with HOME funds for the programs listed above.</p>

	<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>See 5-year Consolidated Plan annual goals for details: https://www.nchfa.com/sites/default/files/page_attachments/2016-2020ConsolidatedPlan.pdf (Page 121 - Goals Summary).</p>
4	<p>State Program Name:</p>	<p>Housing Opportunities for Persons with AIDS (HOPWA)</p>
	<p>Funding Sources:</p>	<p>HOPWA</p>

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The HOPWA program is instrumental in providing permanent housing options utilizing TBRA, STRMU, PHP and SS. Each of these activities ensures available, affordable, and appropriate housing in areas of increased opportunities. TBRA is our most utilized HOPWA service which provides permanent housing for eligible households that are unstably housed, homeless or at risk of becoming homeless. STRMU our second most utilized HOPWA service is specific to eligible households that are already stably housed that may have crisis situations resulting in the need for short-term emergency financial assistance to prevent them from becoming homeless. Supportive Services, our 3rd most utilized HOPWA service, includes a myriad of services such as case management, transportation, food services and life skills, which based on assessed need, may be provided to assist eligible households remain permanently housed. PHP assists eligible households with reducing barriers to obtaining permanent housing through the provision of assistance with security deposits, one-time utility connection fees, rental application fees, criminal background checks, rental history and tenant counseling. Two-percent of our proposed funding is dedicated to PHP to assist eligible households with obtaining permanent housing. Resource Identification (RI) and Housing Information (HI) results in the identification and location available, affordable and appropriate housing units for persons living with HIV to prevent homelessness. Two- percent of our proposed funding for 2020 is dedicated to RI and HI to assist eligible households locate housing.</p>
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>The HOPWA Program announces a Request for Applications (RFAs) for HIV Prevention, Care and HOPWA. The application is combined, and the proposals are written by a network of providers of HIV prevention, care and HOPWA services across the State of NC. Submitted applications are reviewed by NC HIV Prevention, Care and HOPWA staff and the applications are scored and must meet a minimum threshold criteria to be awarded.</p>

<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>Not applicable. CDBG is administered by the North Carolina Department of Commerce and is addressed in the fields of this report designated to CDBG.</p>
<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>Not applicable. ESG is administered by the North Carolina Department of Health and Human Services (DHHS), Division of Aging and Adult Services and is addressed in the ESG sections of this report.</p>

<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>The HOPWA Program announces a Request for Applications (RFAs) for HIV Prevention, Care and HOPWA. The application is combined, and the proposals are written by a network of providers of HIV prevention, care and HOPWA services across the State of NC. Submitted applications are reviewed by NC HIV Prevention, Care and HOPWA staff and the applications are scored and must meet a minimum threshold criteria to be awarded.</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>The HIV Care and Prevention Program breaks the State of NC into Regional Networks of Care. Regional Networks of Care are comprised of medical providers, housing providers, prevention educatio/outreach providers, Non-traditional Testing Sites and other faith-based and support services providers) these providers can be funded and non-funded partners in HIV Care, Treatment, HOPWA housing and other support services. A formula calculation is used to determine how resources will be allocated using the following formula elements: 1. Number of HIV/AIDS Cases by Region, 2. Utilization of TBRA and STRMU by Region, and 3. Historical Expenditure Rates. Viral Suppression Data and other data are considered when determining the HOPWA allocations to the Regional Networks of Care.</p>

	<p>Describe threshold factors and grant size limits.</p> <p>The grant size limits for HOPWA funding is determined by a formula calculation. The formula calculation is used to determine how resources will be allocated using the following formula elements: 1. Number of HIV/AIDS Cases by Region, 2. Utilization of TBRA and STRMU by Region, and 3. Historical Expenditure Rates. Viral Suppression Data and other data are considered when determining the HOPWA allocations to the Regional Networks of Care.</p>
	<p>What are the outcome measures expected as a result of the method of distribution?</p> <p>The outcome measures expected as a result of the method of distribution is to ensure that People Living with HIV/AIDS are stably housed and virally suppressed for improved health outcomes and to prevent the spread of HIV to others in the community.</p>
5	<p>State Program Name: Housing Trust Fund (HTF)</p> <p>Funding Sources: HTF</p>

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>HTF will help finance loans through NCHFA's Rental Production Program and/or Integrated Supportive Housing Program, which are used in conjunction with Low Income Housing Tax Credits (LIHTC), state-appropriated funds, and other private and local funding. RPP finances the development of rental units that receive LIHTC.</p>
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>NCHFA will award HTF based on the selection criteria as further described in Section IV of the QAP and according to the criteria set forth in the ISHP NOFA and Program Guidelines.</p> <p>The selection criteria are designed to identify the best applications based on-site, market, rent affordability, development costs, project team, design, and construction, as well as energy efficiency, efficient use of tax credits, units for mobility-impaired, and to achieve community integration under North Carolina's <i>Olmstead</i> settlement agreement with the U.S. Department of Justice. Appendix J outlines the additional criteria for those tax credit applications requesting HTF funds. A copy of the 2020 QAP is available on NCHFA's website: https://www.nchfa.com/rental-housing-partners/rental-developers/qualified-allocation-plan/2020-qualified-allocation-plan-qap. The State will distribute NHTF funds by selecting applications submitted by eligible recipients according to the process described in the State's QAP.</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>Not applicable. CDBG is administered by the North Carolina Department of Commerce and is addressed in the fields of this report designated to CDBG.</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>Not applicable. ESG is administered by the North Carolina Department of Health and Human Services (DHHS), Division of Aging and Adult Services and is addressed in the ESG sections of this report.</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>Not applicable. HOPWA is administered by the North Carolina Department of Health and Human Services (DHHS), HIV Care and Prevention Program and is addressed in the HOPWA sections of this report.</p>

<p>Describe how resources will be allocated among funding categories.</p>	<p>Approximately 77% (\$5M) of non-admin HTF funding will be allocated to the Rental Production Program, while 23% (\$1.5M) will be dedicated to Integrated Supportive Housing Program.</p>
<p>Describe threshold factors and grant size limits.</p>	<p>No grants are issued with HTF funds.</p>

What are the outcome measures expected as a result of the method of distribution?	See 5-year Consolidated Plan annual goals for details. www.nchfa.com page 154.
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Discussion:

AP-35 Projects – (Optional)

Introduction:

#	Project Name

Table 9 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

AP-38 Project Summary
Project Summary Information

AP-40 Section 108 Loan Guarantee – 91.320(k)(1)(ii)

Will the state help non-entitlement units of general local government to apply for Section 108 loan funds?

No

Available Grant Amounts

Not applicable

Acceptance process of applications

Not applicable

AP-45 Community Revitalization Strategies – 91.320(k)(1)(ii)

Will the state allow units of general local government to carry out community revitalization strategies?

No

State’s Process and Criteria for approving local government revitalization strategies

AP-50 Geographic Distribution – 91.320(f)

Description of the geographic areas of the state (including areas of low-income and minority concentration) where assistance will be directed

Funds are allocated statewide.

Geographic Distribution

Target Area	Percentage of Funds
Statewide	100

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

CDBG. The primary statutory objective of the CDBG program is to develop viable communities by providing decent housing and a suitable living environment and by expanding economic opportunities. These grants primarily serve persons of low- and moderate-income, as the State must ensure that at least 70 percent of its CDBG grant funds are used for activities that benefit these persons.

HOPWA. The North Carolina HOPWA program serves 82 of the 100 counties in the State of North Carolina. The remaining 18 counties are served through other HOPWA Grantees.

Discussion

Affordable Housing

AP-55 Affordable Housing – 24 CFR 91.320(g)

Introduction:

One Year Goals for the Number of Households to be Supported	
Homeless	23,582
Non-Homeless	4,555
Special-Needs	1,553
Total	29,690

Table 11 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	11,125
The Production of New Units	884
Rehab of Existing Units	405
Acquisition of Existing Units	425
Total	12,839

Table 12 - One Year Goals for Affordable Housing by Support Type

Discussion:

HTF. HTF will be used to fund the development of more rental units that are affordable to households earning 30 percent or less of AMI. The Consolidated Plan states that 1,943 multifamily units will be added by the year 2020 (the original goal of 1,776 units by 2020 was increased by 80 units during the 2016 HTF allocation process and an additional 87 units in the 2017 HTF Allocation Plan). The State’s \$5.29 million in HTF is expected to fund 116 units that will be affordable at 30 percent or less AMI, further increasing this goal to 2,059 units by 2020.

HOPWA. Under the 2020 Annual Action Plan the HOPWA Program is proposing to serve a total of 1,351 eligible households with the following: TBRA, STRMU, PHP, Supportive Services, Household Information and Resource Identification. Our 2019 AAP (1-year goals) for Rental Assistance Only is to provide TBRA, STRMU and PHP to 737 eligible households.

AP-60 Public Housing - 24 CFR 91.320(j)

Introduction:

Not applicable

Actions planned during the next year to address the needs to public housing

Not Applicable

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Not Applicable

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Not Applicable

Discussion:

Not Applicable

AP-65 Homeless and Other Special Needs Activities – 91.320(h)

Introduction

The Emergency Solutions Grant is administered by the North Carolina Department of Health and Human Services, Division of Aging and Adult Services.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The program helps meet the needs of those experiencing homelessness or those at risk of homelessness by encouraging local grantees to develop innovative projects which better address the causes, conditions and problems of homelessness / housing and to serve as an advocate for low-income persons and families that are homeless or at-risk of homelessness.

Addressing the emergency shelter and transitional housing needs of homeless persons

The Emergency Solutions Grants Program is state administered program. The ESG program's goals are to assist people in preventing or ending their homelessness by supporting two primary functions: Emergency Response Activities, including emergency shelter operations and services as well as street outreach, and Housing Stability Activities including homelessness prevention and rapid re-housing. Emergency Response Activities focus on the needs of the homeless:

- Street Outreach: Providing essential services to unsheltered homeless people; connect them with emergency shelter, housing, or critical services; and provide urgent non-facility-based care to unsheltered homeless people who are unwilling or unable to access emergency shelter, housing, or an appropriate health facility.
- Shelter Operations: Providing costs to operate an emergency shelter.
- Shelter Services: Providing essential services are services to homeless families and individuals in emergency shelters, including: case management, childcare, education, employment, and life skills, legal services, mental health, substance abuse services, transportation, and services for special populations.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were

recently homeless from becoming homeless again

The Homelessness Prevention and Rapid Re-Housing Program provide financial assistance and services to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly re-housed and stabilized. The funds under this program are intended to target individuals and families who would be homeless but for this assistance. The funds will provide for a variety of assistance, including: short-term or medium-term rental assistance and housing relocation and stabilization services, including such activities as mediation, credit counseling, security or utility deposits, utility payments, moving cost assistance, and case management.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Not applicable

Discussion

Through services provided by emergency shelter and services programs individuals and families work with staff to both prevent homelessness and to use strategies that will prevent a lapse back into homelessness.

AP-70 HOPWA Goals – 91.320(k)(4)

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	431
Tenant-based rental assistance	254
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	737
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
Total	1,422

AP-75 Barriers to affordable housing – 91.320(i)

Introduction:

The Analysis of Impediments to Fair Housing Choice, completed for 2016 through 2020, summarizes barriers to affordable housing and is available to the public. The Housing Partnership, the oversight board of the state's Housing Trust Fund, considers barriers to housing in the allocation of state resources. In carrying out their regular programs and funding cycles, the Consolidated Plan Partners continue to promote the removal of barriers to affordable housing. The Consolidated Plan partners will take efforts to address those impediments through individual agency efforts as well as a collaborative effort as partners.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

In partnership with the Housing Finance Agency (HFA), enhance education and outreach efforts targeting consumers and providers of housing in the state's non-entitlement areas via training. CDBG Co-sponsored a Fair Housing Training with NC Legal Aid in the Town of Tarboro for tenants, landlords and housing providers on March 13, 2019. We also actively participate in the quarterly Fair Housing Working Group Meetings, one of which was held February 7, 2019 also facilitated by NC Legal Aid. Our active participation in Fair Housing activities demonstrates our efforts in addressing impediments to fair housing choice and ameliorate barriers. All of our CDBG funded local units of government are required to have in place Fair Housing Plans that include planned activities to ensure fair housing choice in their jurisdictions. **Measurable Objective 1.1: The number of outreach and education sessions offered and the number of participants.**

Discussion:

HOPWA.

1. Lack of available and affordable housing for households displaced due to domestic violence of which some are HOPWA eligible. As reported in the Needs Assessment completed for our 2016-2020 Consolidated Plan, the NC Coalition against Domestic Violence (NCCADV) reported that on the date of the Point-In-Time count in January 2014, 13% of the adult women who experienced homelessness did so as a result of being displaced from their homes due to domestic violence. In the current Point-in-Time Count for 2016, 21% of the adult women experiencing homelessness are survivors of domestic violence. North Carolina needs additional emergency shelters for women living with HIV who are displaced from their homes due to violence. Ultimately, more permanent housing solutions, retention in HIV care and access to supportive services are needed to obtain stable housing and viral suppression for this

population.

2. Lack of available and affordable housing for homeless youth of which some are HOPWA eligible. As of the Point-in-Time Count for 2016, 21% of the homeless population identified in the Count was youth ages 17 and younger. Our State needs additional resources such as emergency shelters that can provide educational opportunities and assist with placement in permanent housing in safe, decent, high

opportunity environments to ensure the safety of our homeless youth and HIV+ homeless youth.

3. Lack of available and affordable housing for our LGBTQ Community of which a portion of them are HOPWA eligible. Homelessness and HIV/AIDS are two of the biggest issues facing the LGBT community in NC. More stable housing options and linkage to care resources are needed for this population.

4. Lack of available and affordable housing Options for PLWH with Mental Health and Substance Abuse Disorders. More housing options are needed for our PLWH that have serious mental illness and substance abuse disorders. According to the Point-in-Time Count conducted January 2016, 17% of the homeless people identified had serious mental illness and 15% had substance abuse disorders.

5. Barriers to housing affordability. In the Analysis of Impediments conducted for the NC 2016-2020 Consolidated Plan, Cost Burden/Severe Cost-Burden, Overcrowding/Severe Overcrowding, and housing units without working plumbing and kitchen systems were the top identified impediments to housing. For People Living with HIV (PLWH) these impediments are exacerbated due to low, extremely low, or no income, and the inability of PLWH to maintain their health.

AP-85 Other Actions – 91.320(j)

Introduction:

See actions planned to address obstacles to meeting underserved needs.

Actions planned to address obstacles to meeting underserved needs

CDBG. Hurricane Florence hit North Carolina on September 13-14, 2018 causing devastation to areas hard hit by Hurricane Matthew in addition to causing devastation in newly impacted areas of North Carolina. As of July 2019 the Disaster Recovery funding and program transitioned to NCCOR (North Carolina Office of Resilience and Recovery). However, the Department of Commerce will address the needs of the impacted communities in the state as expeditiously as possible via deploying Neighborhood Revitalization program funds to assist with disaster recovery efforts by prioritizing impacted areas through the program. As the disaster recovery work continues, NC is also responding to the COVID-19 pandemic. The State has received supplemental awards for ESG, CDBG, and HOPWA to prevent, respond to, and prepare for the pandemic. Special consideration will also be given to Neighborhood Revitalization applicants, if the COVID-19 activities align with the program guidelines.

HOPWA. Per requirement, our HOPWA Project Sponsors follow-up with eligible households on their wait lists to determine current living arrangements and to provide updates on housing resources. Often times, eligible households on the wait lists are determined to be eligible for other housing programs such as VASH, Shelter Plus Care, and Section 8 and are placed in permanent housing through those programs.

Actions planned to foster and maintain affordable housing

CDBG. With the Neighborhood Revitalization program, housing activities to address the housing needs of LMI households via rehabilitation, substantial rehabilitation in order to keep housing affordable is critical. The Economic Development arm of CDBG will continue to create job opportunities that will allow LMI individuals to maintain gainful employment which impacts housing affordability and sustainability.

HOPWA. Due to the Modernization of HOPWA, all current eligible clients receiving Tenant-Based Rental Assistance (TBRA), Short-Term Rent, Mortgage and Utility Assistance (STRMU) and Permanent Housing Placement (PHP) will remain eligible and housed. In addition, it is our plan to significantly reduce the numbers of eligible households on the TBRA waiting list.

Actions planned to reduce lead-based paint hazards

CDBG. All CDBG sub-recipients awarded CDBG funds are required to adhere to the Lead-Based Paint Regulations found at 24 CFR, Part 35 and the N.C. General Statute 130A-453.01 – 453.11. The CDBG

Grants Management Representatives and CDBG Rehabilitation Specialist ensure adherence via monitoring of CDBG funded sub-recipients.

HOPWA. Contingent on receiving HOPWA Housing Subsidy (TBRA, STRMU and PHP) the Lead-Based Paint Acknowledgement, HOPWA Housing Quality Standards and the Visual Assessment conducted for the provision of STRMU must be completed. Ensuring that these criteria are met is done through the review of client files during sub-recipient monitoring site visits.

Actions planned to reduce the number of poverty-level families

CDBG. The CDBG Economic Development Program in North Carolina is designed to benefit low- and moderate-income persons through job creation. Funding eligibility is contingent upon the creation of permanent, full-time jobs meeting the following qualifying condition: at least 60% must be made available to persons whose household income over the previous 12 months was less than 80% of the median income for the area. For purposes of the CDBG ED Program, income eligibility is determined from data published annually for HUD's Section 8 housing program. Creating jobs and retaining jobs will positively impact the reduction to the number of poverty-level families.

HOPWA. The State of NC (DHHS) HOPWA Grantee and its Sub-recipients (HOPWA Project Sponsors) are required to take the HUD "Getting to Work" Training Module to better assist HOPWA eligible households with obtaining sources of income through employment. The goal is to assist them with increasing their household income and working their way to full self-sufficiency.

Actions planned to develop institutional structure

CDBG. CDBG will continue to maintain and increase cross-collaboration with federal, state, local units of government, non-profit/for-profit, private-sector to identify opportunities to lessen the barriers in addressing community and economic development needs in the State.

HOPWA. Because of the Housing Opportunities Through Modernization Act (HOTMA), the increase in HOPWA funding to NC will significantly impact eligible households in a positive manner. The stop-loss factor to the increase in funding which ensures that jurisdictions not gain more than 5% or lose more than 10% of their prior year funding during the 5-year phase in allows for the time to effectively plan for needed services and reduce the risk of having funding recaptured. We will increase the utilization of HIV/AIDS Surveillance data to develop a NC HOPWA Cascade. The NC HOPWA Cascade will demonstrate the impact of stable housing on improved health outcomes namely, HIV viral suppression. We will expand the use of allowable activities under Supportive Services (SS) such as outreach to further establish the housing and health care connection as it relates to improved health outcomes, specifically viral suppression. We will increase training opportunities for HOPWA Project Sponsors, landlords and tenants on Fair Housing. We plan to increase funding for Permanent Housing Placement (PHP) to assist eligible households with securing permanent housing through assistance with security deposits and one-time utility connections. We are strongly considering the addition of other HOPWA eligible activities

based upon assessed need such as Short-Term Emergency Housing. We are exploring the potential for coordinating with NC Housing Finance Agency on bricks & mortar projects through sub-recipient contracts/agreements.

Actions planned to enhance coordination between public and private housing and social service agencies

CDBG. The CDBG Neighborhood Revitalization Program funds can be used for public facilities owned by local units of government and Non-profits agencies (if the building is open to the general public). The Local Government will need to have a lien on the property and legally binding Commitment that includes the contract provisions. Examples of Public Facilities that CDBG funding can assist are senior centers, housing for homeless persons, housing or shelter for victims of domestic violence, transitional housing, and community/neighborhood/recreational facilities.

HOPWA. We will continue to ensure that HOPWA services are offered in the Regional Networks of Prevention and Care as part of the Patient Management Model. We will continue to collaborate and coordinate services with Public Housing Authorities, landlords/private homeowners and other housing providers to maximize housing options and services to PLWH. We will continue to work towards established, redefined and newly established goals and measures to achieve no new HIV infections, no loss-to-care PLWH, no PLWH without treatment and access to treatment, to ensure consistent community and consumer involvement in the State's "Ending the Epidemic Campaign" plan to end new HIV infections.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.320(k)(1,2,3)

Introduction:

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.320(k)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	80.00%

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.320(k)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

To conduct housing-related activities, NCHFA uses nonfederal, federal funds and the private market. The nonfederal sources offer the NCFHA even more opportunities and flexibility to serve all priority categories. The available funding sources include the North Carolina Housing Trust Fund;

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state appropriated HOME Match, the Low-Income Housing Tax Credit Program, bond financing, and Mortgage Credit Certificates.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Homebuyer loan subsidies (except for the Self Help Loan Pool) will be provided as subordinate deferred-payment loans that are not forgiven. Homebuyer loans under the Self-Help Loan Pool will be provided as principal-only amortizing loans. Loans under all home buyer programs can be called (1) when the property is sold, (2) when the property is no longer the home buyer's principal residence, (3) at the end of the loan term, or (4) when the first mortgage is refinanced. If the net proceeds of the sale of the property are insufficient to repay the home buyer loans in full, the Agency will accept its pro rata share of the net proceeds in full satisfaction of the loan. However, exceptions may be granted and assumptions may be allowed for an income-qualified heir or lineal descendant that will reside in the home as a principal residence.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Homebuyer programs funded with HOME follow recapture requirements. and ensure that the State recoups all or a portion of the HOME assistance to the homebuyers. As stated in number 2 above, home buyer loan subsidies except for the Self-Help Loan pool will be provided as subordinate deferred-payment loans that are not forgiven. Homebuyer loans under the Self Help Loan Pool will be provided as principal-only amortizing loans. Loans under all home buyer programs can be called (1) when the property is sold, (2) when the property is no longer the home buyer's principal residence, (3) at the end of the loan term, or (4) when the first mortgage is refinanced. If the net proceeds of the sale of the property are insufficient to repay the home buyer loans in full, the Agency will accept its pro rata share of the net proceeds in full satisfaction of the loan. However, exceptions may be granted and assumptions may be allowed for an income-qualified heir or lineal descendant that will reside in the home as a principal residence.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

HOME funds are not used for refinancing of existing debt, even in developments rehabilitated with HOME funds.

**Emergency Solutions Grant (ESG)
Reference 91.320(k)(3)**

1. Include written standards for providing ESG assistance (may include as attachment)

Written Standards: In accordance with 24 CFR 576.500 (b), the recipient must maintain and follow written intake procedures to ensure compliance with the homeless definition in §576.2. The written standards procedures must require documentation at intake of the evidence relied upon to establish and verify homeless status. The procedures must establish the order of priority for obtaining evidence as third-party documentation first, intake worker observations second, and certification from the person seeking assistance third. Each subrecipient written standards are reviewed annually by the state using a HUD checklist.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

As part of the on-going on-site monitoring done by the ESG program office, compliance with centralized coordinated assessment will be added to the process and technical assistance will be provided.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The NC Emergency Solutions Grant Program uses the formula of the HUD Pro Rata Share to determine available funding to CoCs/Regions. Local units of government and non-profit organizations, including community and faith-based organizations, can submit Project Applications to CoCs/Regions. Upon doing so, CoCs/Regions recommend projects for funding in the NC Emergency Solutions Grants Request for Application. NC ESG staff then review and score Regional and Project Applications for minimum threshold criteria.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The NC ESG Program requires each sub-recipient to identify a homeless or formerly homeless person that is a member of the agency Board of Directors. Agencies may request a waiver of homeless participation and identify a written process whereas homeless or formerly homeless individuals are consulted in considering policies and funding decisions.

5. Describe performance standards for evaluating ESG.

The NC ESG Program uses performance measures rubrics as a tool to determine the success of a project and how well services and products are being delivered. Together they enable the NC ESG Program gauge efficiency, determine progress toward desired results and accesses if sub recipients are meeting goals. Sub recipients must adhere to:

- Entering all appropriate client data completely and accurately into the statewide HMIS.
- Assure that 32% of statewide exists from shelters are to permanent housing
- Assure 81% of rapid re-housing persons are placed in permanent housing.

Housing Trust Fund (HTF)
Reference 24 CFR 91.320(k)(5)

1. How will the grantee distribute its HTF funds? Select all that apply:

Applications submitted by eligible recipients

2. If distributing HTF funds through grants to subgrantees, describe the method for distributing HTF funds through grants to subgrantees and how those funds will be made available to state agencies and/or units of general local government. If not distributing funds through grants to subgrantees, enter "N/A".

N/A

3. If distributing HTF funds by selecting applications submitted by eligible recipients,

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The State will primarily distribute its HTF funds to eligible applicants through the Rental Production Program (RPP) and Integrated Supportive Housing Program (ISHP), which both provide long-term financing for the construction of new, affordable rental housing projects. The State will not distribute any HTF funds through subgrantees. The State will require that all recipient applications contain a description of the eligible activities to be conducted with HTF funds and that each eligible recipient certify that housing assisted with HTF funds will comply with HTF requirements.

b. Describe the grantee’s application requirements for eligible recipients to apply for HTF funds. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

NCHFA will use the application process and eligibility requirements described in North Carolina's Qualified Allocation Plan (QAP) in awarding HTF funds (available online). The QAP details applicant eligibility, eligible activities, what applications must include, when and where applications are to be submitted, the criteria by which applications will be evaluated, who will review applications, and when awards are to be made.

c. Describe the selection criteria that the grantee will use to select applications submitted by eligible recipients. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

The selection criteria are designed to identify the best applications based on-site, market, rent affordability, development costs, project team, design and construction, energy efficiency, efficient use of tax credits, units for mobility-impaired individuals and ability to achieve community integration under to North Carolina’s 2012 *Olmstead* settlement agreement with the U.S. Department of Justice. Appendix J of the QAP outlines the additional criteria for those tax credit applications requesting HTF funds <https://www.nchfa.com/rental-housing-partners/rental-developers/qualified-allocation-plan/2020-qualified-allocation-plan-qap>.

d. Describe the grantee’s required priority for funding based on geographic diversity (as defined by the grantee in the consolidated plan). If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

HTF will be made available to eligible applicants in either high-income counties or LME/MCO catchment areas in North Carolina. Doing so will allow the units to be made affordable to people earning 30 percent or less of AMI. Many of North Carolina’s high-income counties are also counties that have the highest demand for people transitioning out of adult care homes to achieve community integration pursuant to North Carolina’s 2012 *Olmstead* settlement agreement with the U.S. Department of Justice.

e. Describe the grantee’s required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

Grantees (i.e., the State) must “commit” their HTF within two years, and expend within five years. All

tax credit projects have a strict window for completion and must be placed in service by December 31 two years after the award of credits. For example, applications awarded tax credits in 2016 must be placed in service no later than December 31, 2018. There is also a requirement for projects to expend ten percent of the costs within 12 months of allocation. Using HTF with tax credits ensures that all projects will adhere to the requirement to obligate the funds and undertake eligible activities within 12 months. Appendix J of the QAP further states the ability to obligate funds and undertake activities in a timely manner will be a threshold requirement for HTF approval. NCHFA will request a timeline as part of the application for projects requesting HTF funds to verify the applicant's ability to meet all deadlines.

f. Describe the grantee's required priority for funding based on the extent to which the rental project has Federal, State, or local project-based rental assistance so that rents are affordable to extremely low-income families. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

Housing affordable to extremely low-income families is defined as families not paying more than 30 percent of their household income for housing costs, including utilities. Project-based rental assistance includes, but is not limited to, unit-based rental assistance, operating subsidies and cross-subsidization of rents within the project. All tax credit applicants must set-aside a minimum of ten percent of the total units for persons with disabilities and all of these units (referred to as "Targeted Units") are eligible for state-funded project-based rental assistance known as Key Rental Assistance. Therefore, all projects eligible for HTF will be eligible for project-based rental assistance. Applicants who have other types of project-based rental assistance also provide the source and number of units receiving project-based rental assistance as part of their applications. Project-based rental assistance is stated as a priority in QAP Appendix J. In the event of a tie in QAP scoring among projects seeking HTF funding, the percentage of units receiving project-based rental assistance will be used as the first tie breaker.

g. Describe the grantee's required priority for funding based on the financial feasibility of the project beyond the required 30-year period. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The minimum affordability period is 30 years. A statement is included in QAP Appendix J to indicate that it is a threshold requirement for projects requesting HTF to have a minimum 30-year affordability period.

h. Describe the grantee's required priority for funding based on the merits of the application in meeting the priority housing needs of the grantee (such as housing that is accessible to transit

or employment centers, housing that includes green building and sustainable development features, or housing that serves special needs populations). If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

The QAP indicates what the State has determined to be the priority housing need(s) it intends to address based on the outcome of its HTF citizen participation process and priority needs indicated in its current Con Plan. The State’s Con Plan defines households earning 30 percent or less of the AMI to be a high-priority population. The QAP requires that at least 25 percent of the qualified low-income units in a proposed tax credit project be affordable to and occupied by households with incomes at or below 30 percent of AMI to be eligible to receive HTF funds.

i. Describe the grantee’s required priority for funding based on the extent to which the application makes use of non-federal funding sources. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

All tax credit projects require leveraging of sources, public and private, to fully fund the cost of each housing development. The QAP does not provide points for leveraging, but it does award points for the efficient use of tax credits in Section IV(F)(2) and provides state funding through the state Housing Trust Fund and the Workforce Housing Loan Program to encourage leveraging. In the event of tie scores, the third tiebreaker is the project requesting the least amount of credits per unit (see QAP section IV(F)(7)(c)). Appendix J further states priority will be given to projects based on leveraging of non-federal funds. In the event there is a tie in QAP scoring among projects seeking HTF funding, the project with the lowest percentage of federal funding to total sources will be used as the second tie breaker.

4. Does the grantee’s application require the applicant to include a description of the eligible activities to be conducted with HTF funds? If not distributing funds by selecting applications submitted by eligible recipients, select “N/A”.

Yes

5. Does the grantee’s application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements? If not distributing funds by selecting applications submitted by eligible recipients, select “N/A”.

Yes

6. Performance Goals and Benchmarks. The grantee has met the requirement to provide for performance goals and benchmarks against which the grantee will measure its progress, consistent with the grantee's goals established under 24 CFR 91.315(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.

Yes

7. Maximum Per-unit Development Subsidy Amount for Housing Assisted with HTF Funds.

Enter or attach the grantee's maximum per-unit development subsidy limits for housing assisted with HTF funds.

The limits must be adjusted for the number of bedrooms and the geographic location of the project. The limits must also be reasonable and based on actual costs of developing non-luxury housing in the area.

If the grantee will use existing limits developed for other federal programs such as the Low Income Housing Tax Credit (LIHTC) per unit cost limits, HOME's maximum per-unit subsidy amounts, and/or Public Housing Development Cost Limits (TDCs), it must include a description of how the HTF maximum per-unit development subsidy limits were established or a description of how existing limits developed for another program and being adopted for HTF meet the HTF requirements specified above.

The State will use HOME maximum per unit development subsidy limits.

The HOME maximum per-unit subsidy limits were chosen for consistency as we also use HOME funds with tax credits. Given that HTF rules closely follow other established rules in the HOME program, it is appropriate to rely upon this limit. Currently, there is only one published limit for the entire state. Based on our experience with rental housing development, there are no significant differences in costs that would require any geographic adjustment from the statewide limit. Below are the current subsidy limits (available online at www.hudexchange.info/resource/2315/home-per-unit-subsidy/).

8. Rehabilitation Standards. The grantee must establish rehabilitation standards for all HTF-assisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project completion. The grantee's description of its standards must be in sufficient detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable codes or they may establish requirements that exceed the

minimum requirements of the codes. The grantee must attach its rehabilitation standards below.

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; and Capital Needs Assessments (if applicable).

The State will not use HTF for rehabilitation of housing.

9. Resale or Recapture Guidelines. Below, the grantee must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the grantee will not use HTF funds to assist first-time homebuyers, enter “N/A”.

N/A

10. HTF Affordable Homeownership Limits. If the grantee intends to use HTF funds for homebuyer assistance and does not use the HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the grantee will not use HTF funds to assist first-time homebuyers, enter “N/A”.

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the grantee must not limit or give preferences to students. The grantee may permit rental housing owners to limit tenants or give a preference in accordance with § 93.303(d)(3) only if such limitation or preference is described in the action plan.

N/A

12. Refinancing of Existing Debt. Enter or attach the grantee’s refinancing guidelines below. The guidelines describe the conditions under which the grantee will refinance existing debt. The grantee’s refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum

level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the grantee will not refinance existing debt, enter "N/A."

N/A

Discussion:

The NC HOPWA Program is integrated with the Ryan White Part B and HIV Prevention Program. Every three years Regional Networks of Care apply to our integrated (HIV Prevention and Care) Request for Application (RFA) to describe their plans for HIV Prevention, HIV Care and Support Services and Housing via CDC Prevention, HRSA Ryan White Part B and HOPWA funds. We ensure that the RFA announcements reach far and wide via the Internet and other sources in North

Carolina and that grassroots agencies, public housing agencies, faith-based, non-profit, and a myriad of organizations have access to HOPWA funding.

- Ninety-seven percent of the State of NC DHHS HOPWA funds are awarded to Project Sponsors of which the maximum cap for Project Sponsor Administration is seven (7%) and three (3%) percent is set aside for Grantee Administration. HOPWA Project Sponsors may only use HOPWA funding for eligible HOPWA activities as approved in the Consolidated Plan and Annual Action Plan. Sound Fiscal and Programmatic oversight must be implored by the Grantee and HOPWA Project Sponsors and monitoring sight visits are conducted to ensure HOPWA funding is utilized for allowable activities only. Citizen Participation in our Consolidated Planning Process/Annual Action Plan and CAPER is encouraged and required. HOPWA Grantee staff and Project Sponsor staff are required to take the HOPWA Oversight Training, Getting to Work and Fair Housing Training. The duty to Affirmatively Further Fair Housing is required and monitored to ensure that meaningful action is taken by the Grantee and HOPWA Project Sponsor staff to eliminate segregated living patterns in areas of low opportunity via ensuring our eligible clients have the freedom to choose housing that meets the HOPWA Housing Habitability Standards and Fair Market Rent standards. Client eligibility (medical status and income limits are assessed and monitored annually via the recertification process. In addition, household size is assessed during the same time. Ensuring eligible HOPWA clients have access to care, support and prevention services through the provision of case management and/or access to case management is required of our HOPWA Project Sponsors and assessed via monitoring site visits. The assurance of available housing under favorable conditions within 2 weeks is the goal of our HOPWA program. The HOPWA Grantee and our Project Sponsors are required to follow the Omni- Circular 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit for Federal Awards.

Attachments

Citizen Participation Comments

Citizen Participation Outreach Summary for the 2020 Annual Action Plan (AAP) Submitted to the U. S. Department of Housing and Urban Development June 25, 2020

The State of North Carolina NC Plan Partners attempted to hold two public hearings to receive public input on the 2019 Consolidated Annual Performance Evaluation Report and the 2020 Annual Action Plan (AAP).

NC Plan Partners: The NC Plan Partners consists of the following four agencies that are the designated administrators for the five CPD formula programs:

- **CDBG:** North Carolina Commerce, Rural Economic Development Division
- **ESG:** North Carolina Department of Health and Human Services (NC DHHS), Department of Aging and Adult Services
- **HOPWA:** NCDHHS HIV Care Program and
- **HOME and HTF:** North Carolina Housing Finance Agency, Policy and Research

March 18, 2020 Public Hearing: The March 18, 2020 public hearing was cancelled due to the declaration of a National Emergency pertaining to the COVID-19 Pandemic. Prior to that time, the notice had been published in newspapers advertising the hearing and sharing the availability of the 2020 AAP for public review and comment. The NC Plan Partners had also distributed the information to stakeholders and interested persons. Citizens were invited to an in-person hearing and given the option to call in as a participant. See the attached documents.

June 15, 2020 Public Hearing: The June 15, 2020 Public Hearing for the 2020 AAP was held as a virtual meeting using the Go-to-Meeting web platform. Participants had the option to use the internet or a call-in number. The State of North Carolina amended its Citizen Participation Plan to include the HUD waiver to reduce the public comment period to not less than five calendar days. The NC Plan Partners posted the notice of the hearing, the draft AAP, and the amended Citizen Participation Plan on the NC Commerce website, posted the information on the City/County Manager ListServ, and emailed the notices to stakeholders and other interested parties. Approximately 40 persons participated of which about 26 were not NC Plan Partners. Documentation is attached including the 2020 AAP PowerPoint presentation.

Public Comments: The 2020 AAP public comment period began on Thursday, May 21, 2020 and ended on Sunday, June 21, 2020. Valerie D. Moore of NC Commerce served as the public comment coordinator and received no comments during the public hearing and one written comment in support of the plan.

**STATE OF NORTH CAROLINA CONSOLIDATED PLAN
CITIZEN PARTICIPATION PLAN**
Amended June 8, 2020

The U.S. Department of Housing and Urban Development (HUD) has consolidated the planning, application, reporting and citizen participation processes of formula grant programs: Community Development Block Grants (CDBG), Home Investment Partnerships (HOME), Emergency Shelter Grants (ESG) and Housing Trust Fund (HTF). The Consolidated Plan is designed to help states and local jurisdictions to assess their affordable housing and community development needs and market conditions, and to make data-driven, place-based investment decisions. The consolidated planning process serves as the framework for a community-wide dialogue to identify housing and community development priorities that align and focus funding from the formula block grant programs.

The State of North Carolina, in compliance with the requirements of 24 CFR, Part 91, et al., Consolidated Submissions for Community Planning and Development Programs, which sets forth the final rule providing for a consolidated plan and a single consolidated performance report for all U. S. Department of Housing and Urban Development community planning and development formula grant programs, has established the following policies and procedures for citizen participation (referred to as the Citizen Participation Plan) and will abide by this Plan.

The Citizen Participation Plan will be distributed at in-person public hearing(s) on housing and community development needs in addition to being available on the North Carolina Department of Commerce website www.nccommerce.com. For virtual public hearings, the public will be directed to the NC Commerce website to review the plan. Citizens and units of general local government will be advised at this time of the opportunity to comment on the Citizen Participation Plan and on any substantial amendments to the Citizen Participation Plan. The State will consider any comments or views received in writing or expressed orally at the public hearing on the original Citizen Participation Plan or amended Citizen Participation Plan.

For those unable to attend the public hearing(s), views and comments may be submitted to the address shown below or by email to Valerie.Moore@nccommerce.com. The Citizen Participation Plan will be made accessible to persons with disabilities upon request by telephone or written request to the following address:

Rural Economic Development Division
North Carolina Department of Commerce
301 N. Wilmington Street, 4th Floor
4346 Mail Service Center
Raleigh, N. C. 27699-4346
Telephone: (919) 814-6600
Fax: (919) 715-0567
TDD: 1 (800) 735-2962

**STATE OF NORTH CAROLINA CONSOLIDATED PLAN
CITIZEN PARTICIPATION PLAN
*Amended June 8, 2020***

Purpose: The State of North Carolina encourages citizens to participate in the planning, implementation, and assessment of the Consolidated Plan for HUD Community Planning and Development programs. This plan describes how this participation will be encouraged.

General: Citizens may make comments or request information regarding the Consolidated Plan by telephone, mail, email, or facsimile transmission to the Consolidated Planning Coordinator, State CDBG Program, 4346 Mail Service Center, Raleigh, North Carolina 27699-4346, Tel. (919) 814-4600 (office), TDD 1-800-735-2962, Fax (919) 715-0567 or by email to Valerie.Moore@nccommerce.com. The State will respond in the Consolidated Plan or performance report, as appropriate, to written comments received.

Meetings and Public Hearings: During the development of the plan, during the development of any substantial amendments, and prior to submission of the annual performance report to HUD, the State will solicit the views and proposals of citizens and interested groups and agencies at meetings and public hearings.

Notices for meetings and public hearing shall include:

1. The subject of the meeting;
2. Amount of funds anticipated to be available and range of eligible activities;
3. Estimated benefit to low and moderate-income persons;
4. Information needed by citizens to make informed comments, or in the case where extensive information will be the subject of comment, where the information is available for inspection;
5. Time and location of the meeting;
6. The address and telephone number where special populations (persons with disabilities or non-English speaking persons) may request auxiliary aids or assistance;
7. The address where written comments may be submitted, and the time frame of the comment period for the subject of the meeting;
8. The telephone number where persons may request additional information.
9. A telephone number for constituents to call in to the public meeting if they are unable to travel.

Notices: Adequate advance notice of meetings will be given. Notices will be published in newspapers of general circulation no less than ten days and no more than twenty-five days prior to the beginning of any series of hearings or meetings. Notices will be posted on the websites of the NC Department of Commerce (www.nccommerce.com), State CDBG Program and the NC Housing Finance Agency (www.ncdfa.com). Notices will also be mailed and/or emailed to local governments, advocacy groups, nonprofit housing organizations, housing-related service providers, for-profit developers, professional organizations, other known constituency groups, and citizens who have requested notification.

Format: Meetings will be designed to allow the most opportunity and encouragement for citizens to express their views. At least one meeting *whether in person or virtual* will follow the format of a formal public hearing, while the State is identifying its housing and community development needs prior to writing the consolidated plan.

Accessibility: Meetings will be held at times and locations convenient to potential and actual beneficiaries. All meeting places will be handicapped accessible. Auxiliary aids, such as interpreters, will be provided upon request.

Development of the Consolidated Plan: The State will develop a complete consolidated plan submission, including needs assessment, strategic plan, action plan, and certifications every five years. The State will hold a series of meetings to solicit citizen views and proposals on housing and community development needs before the proposed consolidated plan is published for comment. The State will publicize and conduct these meetings as described above in the section **"Meetings and Public Hearings."**

The State will publish its proposed consolidated plan for comment prior to submitting it to HUD. A summary of the proposed plan will be published in newspapers of general circulation, along with a list of places where the entire proposed plan may be examined. The summary will also be mailed to local governments, advocacy groups, nonprofit housing organizations, housing-related service providers, for-profit developers, professional organizations, other known constituency groups, and citizens who have requested notification. The entire plan will be available on the websites of the Rural Development Division and the NC Housing Finance Agency. A copy of the plan, in reasonable numbers, will also be provided to citizens or groups that request it. The proposed plan will contain information including the amount of assistance the State expects to receive and the range of activities that may be undertaken, including the estimated amount that will benefit persons of low- and moderate-income and the plans to minimize displacement of persons and to assist any persons displaced. At least one meeting or hearing will be held on the draft, publicized and conducted as described above in the section **"Meetings and Public Hearings."**

The State will receive comments from citizens and units of general local government on the proposed consolidated plan for a period of not less than 30 days. The comment period will be publicized in the notices published in the newspaper and mailed to interested parties. Any comments received in writing or orally at the public hearings will be considered in preparing the final consolidated plan. The final consolidated plan will include a summary of these comments or views and the reasons for not accepting any comments received.

Action Plan: The State will develop an action plan annually for the use of its funds and submit this plan with certifications. In the years in which the action plan is directed by the five-year needs assessment and strategic plan, the State will hold hearings or meetings, publicized and conducted as described above in the "Meetings and Public Hearings" section. The State will receive comments from citizens and units of general local government on the proposed action plan for a period of not less than 30 days from the date when the draft is available to the public. The comment period will be publicized in the notices published in the newspaper and mailed to interested parties. Any comments received in writing or orally

at the public hearings will be considered in preparing the final submission. The final action plan will include a summary of these comments or views and the reasons for not accepting any comments received.

Amendments: The State will amend its consolidated plan prior to making any of the following decisions:

1. To change the method of distributing funds or the priorities for allocation;
2. To carry out an activity, using funds from any program covered by the consolidated plan (including program income), not previously described in the action plan;
3. To change the purpose, scope, location, or beneficiaries of an activity from what was proposed in the original plan; or
4. To change the amount of funds reserved for a particular funding category or activity, unless the change is made in accordance with conditions and procedures specified in the original consolidated plan.

The State will receive comments from citizens and units of general local government on the proposed substantial amendment for a period of not less than 30 days, unless waived by HUD during disasters, public health emergencies, or other federally designated emergencies. The opportunity to comment will be publicized and posted on the NC Commerce website and emailed to interested parties. The notice will include a summary of the proposed changes and information on how to request a copy of the entire proposed amendment. Any comments received in writing will be considered in preparing the substantial amendment. The final substantial amendment will be submitted with a summary of these comments or views and the reasons for not accepting any comments received.

Waivers to Citizen Participation Consolidated Plan Requirements Due to Spread of COVID-19: In accordance with 24 CFR 5.110, HUD may, upon a determination of good cause and subject to statutory limitations, waive regulatory provisions. Additional regulatory waiver authority is provided in 24 CFR 91.600. In December 2019, a new coronavirus known as COVID-19 was first detected in Wuhan, People's Republic of China, causing outbreaks of the coronavirus disease that has now spread globally. In March 2020, the World Health Organization declared the coronavirus outbreak a pandemic and President Trump declared the outbreak a national emergency. The Coronavirus Aid, Relief, and Economic Security Act (CARES Act) makes available \$5 billion in supplemental CDBG funding for grants to prevent, prepare for, and respond to coronavirus (CDBG-CV grants). A memorandum dated April 9, 2020, from John Gibbs, Acting Assistant Secretary for HUD's Office of Community Planning and Development (CPD), provides that grantees may amend citizen participation plans to incorporate certain waivers that establish expedited procedures to draft, propose, or amend consolidated plans and annual action plans with respect to CDBG-CV grants and FY 2019 and 2020 grants. Specifically, the waivers authorize an accelerated **public notice and comment period of five days**; further, the five-day period for comments on consolidated plans and amended citizen participation plans may run concurrently. The

following waivers to Consolidated Plan and Action Plan requirements for CDBG-CV grants and FY 2019 and 2020 grants have been authorized by HUD to expedite CDBG response to the coronavirus pandemic:

1. **Citizen Participation Public Comment Period** - Given the need to expedite actions to respond to COVID-19, HUD has waived 24 CFR 91.115(e)(2) and (i) in order to balance the need to respond quickly to the growing spread of COVID-19 with the statutory requirement to provide reasonable notice and opportunity for citizens to comment on new consolidated plans, annual action plans, and/or substantial amendments. The standard 30-day minimum notice and comment period is waived, provided that no less than 5 days are allowed for public comments on each consolidated plan, action plan, or substantial amendment. This waiver is available through the end of the State's 2020 program year.

2. **Citizen Participation Reasonable Notice and Opportunity to Comment** – In recognition that efforts to contain COVID-19 require limiting public gatherings, such as those often used to obtain citizen participation, HUD further waives 24 CFR 91.115(e)(2) and (i) to allow grantees to determine what constitutes reasonable notice and opportunity to comment given their circumstances. This authority is in effect through the end of the State's 2020 program year. Aside from the expedited 5-day public comment period, procedures for substantial amendments will also be modified. Citizens and units of general local government will be provided with notice and an opportunity to comment on proposed substantial amendments by way of the City/County Manager ListServ, email distribution lists, and posting on the NC Commerce website. A summary of each proposed substantial amendment will be included in the public notice. The State will identify a deadline for the submittal of written comments on the proposed substantial amendment; that timeframe will allow no less than five calendar days. Written comments may be submitted via email to Valerie.Moore@nccommerce.com. A summary of all comments received will be attached to the substantial amendment to the Consolidated Plan.

Performance Reports: The State will publish its annual performance report for public comment and will conduct at least one hearing on the proposed report. The hearing or meetings will be publicized and held as described in the above section "**Meetings and Public Hearings.**" The published notice will include a summary of the report, along with a list of places where the entire proposed plan may be examined. The summary will also be mailed to local governments, advocacy groups, nonprofit housing organizations, housing-related service providers, for-profit developers, professional organizations, other known constituency groups, and citizens who have requested notification. The entire report will be available for inspection at the regional offices of the Rural Economic Development Division and of the Division of Business and Industry and at the main branches of public libraries. A copy of the report, in reasonable numbers, will also be provided to citizens or groups that request it.

The State will receive comments from citizens and units of general local government on the proposed consolidated plan for a period of not less than 15 days. The comment period will be publicized in the notices published in the newspaper and mailed to interested parties. Any comments received in writing or orally at the public hearings will be considered in

preparing the final report. The final report will include a summary of these comments or views.

Citizen Participation Requirements for Local Governments: Units of general local government that receive Community Development Block Grant Funds under the North Carolina Small Cities program must follow the citizen participation requirements of the *North Carolina Community Development Block Grant Regulations, 4 NCAC 19L.1602.*

Availability and Access: The consolidated plan, as adopted, any substantial amendments, and the performance report will be available to the public. Availability for the general public is described in the above sections of this plan dealing with each document.

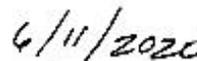
Non-English Speaking and Handicapped Residents Public Hearing/Meeting Accommodation: All public meetings, workshops, and hearings are held in facilities that are accessible to people with disabilities. Upon reasonable request, State will provide translators at public hearings and meetings. Furthermore, meeting notices will be sent to organizations representing non-English speaking residents of the State. The information will be made available in a form accessible to persons with disabilities upon request to the Consolidated Planning Coordinator, State CDGB Program, 4313 Mail Service Center, Raleigh, North Carolina 27699-4313, Tel. (919) 814-4600, TDD 1-800-735-2962, Fax (919) 715-0567.

Citizens, public agencies, and other interested parties will have reasonable and timely access to information and records relating to the consolidated plan. These records include the State's use of assistance under the programs covered during the preceding five years. All records that are public under G.S. 132 will be made accessible to interested individuals and groups during normal working hours.

Complaints: At any time, citizens may submit complaints related to the consolidated plan, any amendments, and the performance report by writing the Consolidated Planning Coordinator, State CDGB Program, 4313 Mail Service Center, Raleigh, North Carolina 27699-4313, Tel. (919) 814-4600, TDD 1-800-735-2962, Fax (919) 715-0567. The State will provide a written response to every written citizen complaint that relates to the consolidated plan, action plan or substantial amendments within 15 working days.



George Sherrill, Chief of Staff
North Carolina Department of Commerce



Date

Moore, Valerie D

From: Limehouse, Ella M
Sent: Tuesday, March 3, 2020 11:44 AM
To: 'legals@citizen-times.com'
Cc: Payne, Iris C; Moore, Valerie D
Subject: 2019 CAPER Notice of Public Hearing
Attachments: 2019 CAPER Notice of Public Hearing .docx

Good Morning,

My name is Ella Limehouse, Program Assistant with the Rural Economic Development Division. I would like the attached ad to run in the next edition of the **Asheville Citizen-Times**. I have attached the document as well as cut and pasted it below, for invoicing please send to the attention of Toni Moore at tmoore@nccommerce.com or at the following address:

N. C. Department of Commerce
301 N. Wilmington Street
4346 Mail Service Center
Raleigh, NC 27699-4346
Attn: Toni Moore

If you have questions, please contact me at (919) 814-4681 or email elimehouse@nccommerce.com.

NOTICE OF PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce, Rural Economic Development Division
North Carolina Housing Finance Agency
North Carolina Department of Health and Human Services, Division of Aging and Adult Services
North Carolina Department of Health and Human Services, HIV Care Program

ACTION: Notice is hereby given that the 2019 North Carolina Consolidated Annual Performance Evaluation Report (CAPER) and the 2020 Annual Action Plan (AAP) have been drafted by the North Carolina Department of Commerce, Rural Economic Development Division. The drafts may receive several updates prior to submission to the U. S. Department of Housing and Urban Development.

SUMMARY: The 2019 North Carolina Consolidated Annual Performance Evaluation Report (CAPER) and the 2020 Annual Action Plan summarize the 2019 accomplishments and the 2020 proposed actions of the four Consolidated Plan partner agencies, as well as presenting the results of other housing related programs identified in the North Carolina Consolidated Plan. The State's CAPER includes the following programs funded by the U.S. Department of Housing and Urban Development (HUD): Small Cities Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grants (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) programs.

AVAILABILITY OF REVIEW MATERIALS: A copy of the 2019 NC CAPER will be available for public review on **March 18, 2020-March 31, 2020**. The 2020 AAP will be available for public review **March 18, 2020-April 16, 2020**. Both documents will be available on the web sites of the North Carolina Department of Commerce

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(<http://www.nccommerce.com>) and the North Carolina Housing Finance Agency (<http://www.nchfa.com>). Copies are also available by request from the North Carolina Department of Commerce, Rural Economic Development Division. Contact Valerie D. Moore at (919) 814-4673 to receive a copy.

PUBLIC HEARING: Two official public hearings will be held on **March 18, 2020**. A hearing will be held from 9:00 am to 10:00 a.m. for the 2019 CAPER and one will be held from 10:00 am to 11:00 a.m. for the 2020 AAP at the North Carolina Housing Finance Agency, located at 3508 Bush Street, Raleigh, NC 27609. A call in-option will be available for people who are unable to attend the meeting. To register for the call-in option, please email elimehouse@nccommerce.com by Wednesday, **March 11, 2020**. Persons wanting additional information on the public hearing may contact Valerie D. Moore at (919) 814-4673 or at valerie.moore@nccommerce.com. Persons with disabilities or who otherwise need assistance should contact Ella Limchouse at (919) 814-4681 in advance of the hearing. Accommodations will be made for all who request assistance with participating in the public hearing by Monday, **March 9, 2020**.

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Ella Limchouse
Administrative Assistant
North Carolina Department of Commerce



NC DEPARTMENT
of COMMERCE
RURAL ECONOMIC
DEVELOPMENT

919 814-4681 office
elimehouse@nccommerce.com

301 N. Wilmington Street
4346 Mail Service Center
Raleigh, NC 27699-4346

NOTICE OF PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce, Rural Economic Development Division
North Carolina Housing Finance Agency
North Carolina Department of Health and Human Services, Division of Aging and Adult Services
North Carolina Department of Health and Human Services, HIV Care Program

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Moore, Valerie D

From: Limehouse, Ella M
Sent: Tuesday, March 2, 2020 11:48 AM
To: pam.cleniczak@newsobserver.com
Cc: Payne, Iris C; Moore, Valerie D
Subject: 2019 CAPER Notice of Public Hearing
Attachments: 2019 CAPER Notice of Public Hearing .docx

Good Morning,

My name is Ella Limehouse, Program Assistant with the Rural Economic Development Division. I would like the in the next edition attached ad to run of the **News and Observer**. I have attached the document as well as cut and pasted it below, for invoicing please send to the attention of Toni Moore at tmoores@nccommerce.com or at the following address: Our Account Number is **Our acct. no. is 4301535830 1631-4126.**

N. C. Department of Commerce
301 N. Wilmington Street
4346 Mail Service Center
Raleigh, NC 27699 4346
Attn: Toni Moore

If you have questions, please contact me at (919) 814-4681 or email elimehouse@nccommerce.com.

NOTICE OF PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce, Rural Economic Development Division
North Carolina Housing Finance Agency
North Carolina Department of Health and Human Services, Division of Aging and Adult Services
North Carolina Department of Health and Human Services, HIV Care Program

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Ella Limehouse
Administrative Assistant
North Carolina Department of Commerce



NC DEPARTMENT
of COMMERCE
RURAL ECONOMIC
DEVELOPMENT

919 814-4681 office
elimehouse@nccommerce.com

301 N. Wilmington Street
4346 Mail Service Center
Raleigh, NC 27699-4346

NOTICE OF PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce, Rural Economic Development Division
North Carolina Housing Finance Agency
North Carolina Department of Health and Human Services, Division of Aging and Adult Services
North Carolina Department of Health and Human Services, HIV Care Program

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Moore, Valerie D

From: Limehouse, Ella M
Sent: Tuesday, March 3, 2020 11:53 AM
To: jeff.thompson@thecharlottepost.com
Cc: Payne, Iris C; Moore, Valerie D
Subject: FW: 2019 CAPER Notice of Public Hearing
Attachments: 2019 CAPER Notice of Public Hearing .docx

Good Morning,

My name is Ella Limehouse, Program Assistant with the Rural Economic Development Division. I would like the attached ad to run in the next edition of the **Charlotte Post**. I have attached the document as well as cut and pasted it below, for invoicing please send to the attention of Toni Moore at tmoores@nccommerce.com or at the following address:

N. C. Department of Commerce
301 N. Wilmington Street
4346 Mall Service Center
Raleigh, NC 27699-4346
Attn: Toni Moore

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North Carolina Housing Finance Agency
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Moore, Valerie D

From: Gannett Legal's Public Notices <GanLegPubNotices@gannett.com>
Sent: Tuesday, March 3, 2020 1:05 PM
To: Limehouse, Ella M
Cc: Payne, Iris C; Moore, Valerie D
Subject: [External] RE: 000409244: 2019 CAPER Notice of Public Hearing
Attachments: OrderConf.pdf

CAUTION: This email and any files transmitted with it are confidential and intended only for the individual named. If you have received this email by mistake, please notify the system manager. This message contains confidential information and is intended only for the individual named. If you are not the named addressee you should not disseminate, distribute or copy this e-mail. Please notify the sender immediately by e-mail if you have received this e-mail by mistake and delete this e-mail if you are not the named addressee. If you are not the named addressee you should not disseminate, distribute or copy this e-mail. Please notify the sender immediately by e-mail if you have received this e-mail by mistake and delete this e-mail if you are not the named addressee. If you are not the named addressee you should not disseminate, distribute or copy this e-mail. Please notify the sender immediately by e-mail if you have received this e-mail by mistake and delete this e-mail if you are not the named addressee.

Hello,

Attached is a proof for review. Your ads set to run March 5th in the Asheville Citizen Times for \$304.00. An affidavit will be received via mail 7-10 business days after print. Please respond with any changes prior to 4PM today.

Thank you,

Allison Marsh
Public Notice Representative

Citizen-Times | LOCALiQ
PART OF THE USA TODAY NETWORK

Office: 828-232-6866

From: Limehouse, Ella M <ellimehouse@nccommerce.com>
Sent: Tuesday, March 3, 2020 10:44 AM
To: LEGALS, ASH <ASHLEGALS@gannett.com>
Cc: Payne, Iris C <ipayne@nccommerce.com>; Moore, Valerie D <valerie.moore@nccommerce.com>
Subject: 000409244: 2019 CAPER Notice of Public Hearing

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Raleigh, NC 27699-4346
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North Carolina Housing Finance Agency
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Ella Limehouse
Administrative Assistant
North Carolina Department of Commerce



**NC DEPARTMENT
of COMMERCE**
RURAL ECONOMIC
DEVELOPMENT

919 814-4681 office
elimehouse@nccommerce.com

301 N. Wilmington Street
4346 Mail Service Center
Raleigh, NC 27608-4346

Department of Rural Economic Development
1401 W. 43rd Mall, Asheville, NC 28801
Phone: 828.252.4246 FAX: 828.252.4247
Hours: 9:00 AM - 5:00 PM, Monday - Friday
April 16, 2018

5 copies and 10 copies of copies
per copy of copies to 10 copies and 10 copies
March 2, 2018
March 2, 2018
20180201

14 O. Henry Avenue Asheville, NC 28801

Moore, Valerie D

From: Limehouse, Ella M
Sent: Tuesday, June 9, 2020 8:10 AM
To: hydecopl@yahoo.com; dcox@kerrarcog.org; hmarshal@intrstar.net; krichards@mideastcom.org; lisa.helton@wpcog.org; mrenson@triad.rr.com; marian.hill@cherokeecounty-nc.gov; landford@msn.com; Deck; mdolge@nwpcog.org; michaelpeoples@hotmail.com; miwalsor@ptmc.net; mball@regiond.org; mdb@mcdavid-inc.com; cbmorris007@hotmail.com; maautry@mail.ecsu.edu; mchevalier@thewootencompany.com; patperdue@tyrrelcounty.net; dtownsend@co.randolph.nc.us; pau.robinson36@hotmail.com; ptrew@regiond.org; bnolan@thewootencompany.com; sandy@gorockingham.com; Sharon@lkcengineering.com; swilliamson@nfocusplanning.org; Sherry Long; saustin@charter.net; dholland@hpcplanning.com; taylor372@aol.com; twooten@charlottenc.gov; wfreeman@tdainc.org; bgentile@washingtonnc.gov; adam.short@ci.kinston.nc.us; Cubeta, Julie; david@hartiganmanagement.com; jmorck@wilsonnc.org; Karen.Kiehna@mcgillengineers.com; joanstillwell@frontier.com; alire.briggs@martin-mcgill.com; mary@landofsky.org; J.uster@centralina.org; ccox@kerrarcog.org; Bass, Ann M; Best, Will; Emory, Jeff B; Locascio, Glen V; Naegelen, Bruce; Patrick, Lee; Rhoades, David E; Smith, Karen C; Ziegler, Mark D; Smith, Libby; Adams, Sherry B; Halsall, Charles S; Riley, Naomi M; Parham, Liz; Collier, Olivia A; korneldw@forsyth.cc; ashlynn@housing-assistance.com; cwilliams@ci.henderson.nc.us; secaliatownhall@gmail.com; mcbergholz@ncfa.com; jdburton@nchfa.com; mcbergholz@nchfa.com; Stacy Lewis; Lucia Constantine
Cc: Moore, Valerie D; Payne, Iris C
Subject: Notice of Virtual Public Hearing for the 2019 CAPER and 2020 AAP
Attachments: 2019 CAPER and 2020 AAP Notice of Public Hearing 6.8.2020.pdf

Good Morning,

Attached is the virtual public hearing announcement for the 2019 Consolidated Annual Performance and Evaluation Report (CAPER) and the 2020 Annual Action Plan (AAP). The documents are available for review on the NC Commerce website at www.nccommerce.com and on the NC Housing Finance Agency website at www.nchfa.com. The virtual public hearing will be held on **Monday, June 15, 2020, 2:00 PM - 4:00 PM (EDT)**. Please join from your computer, tablet or smartphone using the link below or going to www.JoinGoToMeeting.com.

<https://global.gotomeeting.com/join/554881085> [gcc02 safelinks.protection.outlook.com]

You can also dial in using your phone.
(For supported devices, tap the one-touch number below to join instantly.)

United States: 11 (646) 749-3112
- One-touch: tel:+16467493112,,554881085#

Access Code: 554-881-085

Please direct all inquiries to Valerie D. Moore at Valerie.moore@nccommerce.com or (919) 414-7864.

Thank you

NOTICE OF VIRTUAL PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce, Rural Economic Development Division
North Carolina Housing Finance Agency
North Carolina Department of Health and Human Services, Division of Aging and Adult Services
North Carolina Department of Health and Human Services, HIV Care Program

ACTION: Notice is hereby given that the 2019 North Carolina Consolidated Annual Performance Evaluation Report (CAPER) and the 2020 Annual Action Plan (AAP) have been drafted by the North Carolina Department of Commerce, Rural Economic Development Division. The drafts may receive several updates prior to submission to the U. S. Department of Housing and Urban Development.

SUMMARY: The 2019 North Carolina Consolidated Annual Performance Evaluation Report (CAPER) and the 2020 Annual Action Plan summarize the 2019 accomplishments and the 2020 proposed actions of the four Consolidated Plan partner agencies, as well as presenting the results of other housing-related programs identified in the North Carolina Consolidated Plan. The State's CAPER includes the following programs funded by the U.S. Department of Housing and Urban Development (HUD): Small Cities Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grants (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) programs.

AVAILABILITY OF REVIEW MATERIALS: A copy of the 2019 NC CAPER will be available for public review on **June 4, 2020-June 18, 2020**. The 2020 AAP will be available for public review **May 21, 2020-June 21, 2020**. Both documents will be available on the websites of the North Carolina Department of Commerce (<http://www.nccommerce.com>) and the North Carolina Housing Finance Agency (<https://www.nchfa.com>). Copies are also available by request from the North Carolina Department of Commerce, Rural Economic Development Division. Contact Valerie D. Moore at Valerie.Moore@nccommerce.com or 919.414.7864 to receive a copy.

VIRTUAL PUBLIC HEARING: Two official, virtual public hearings will be held on **June 15, 2020** will be held from **2:00 pm to 4:00 pm** for the 2019 CAPER and the 2020 AAP. Please join the meeting from your computer, tablet or smartphone at www.JoinGoToMeeting.com. You can also dial in using your phone at 646.749.3112. For supported devices, tap a one-touch number at <tel:+16467493112,,554881085#> to join instantly. The access code is 554.881.085. Persons with disabilities or who otherwise need assistance should contact Ella Timonhouse at ellatimhouse@nccommerce.com in advance of the hearing. Accommodations will be made for all who request assistance with participating in the public hearing by **5:00 PM, Thursday, June 11, 2020**.

COMMENT PERIOD: Comments concerning the CAPER and AAP stated in this notice should be made during the required comment period. The comment period for the 2019 CAPER is from June 4, 2020 to June 18, 2020 and the 2020 AAP is from May 21, 2020 to June 21, 2020. Written comments can also be made during the comment period. Written comments will be accepted until 5:00 p.m. on the respective closing comment date and may be made via email to valerie.moore@nccommerce.com. Fax 919.715.0567, or mailed to Valerie D. Moore, Attn: Public Comment, Rural Economic Development Division, 4346 Mail Service Center, Raleigh, NC 27699-1346. CAPER mailed comments must be postmarked no later than **June 18, 2020** and AAP no later than **June 21, 2020**.

Si necesita esta información en español, por favor póngase en contacto con Valerie Moore 919.414.7864.



Moore, Valerie D

From: Limehouse, Ella M
Sent: Tuesday, June 9, 2020 8:26 AM
To: Moore, Valerie D
Subject: 2019 CAPER and 2020 Annual Action Plan Public Hearing Notice

Good Morning Valerie,

The Public Hearing Notice has been posted to my distribution lists.

Thanks

Moore, Valerie D

From: Williams, Angela L
Sent: Tuesday, June 9, 2020 8:33 AM
To: Moore, Valerie D
Cc: Payne, Iris C
Subject: Notice of Virtual Public Hearing for the 2019 CAPER and 2020 AAP
Attachments: CAPERdoc.listserve,6.9.2020pdf.pdf

Good morning Valerie,

Attached is a copy of the posting of the announcement to the listserv for your records.

Thanks

Angela

Angela Williams
Grants Management Representative
North Carolina Department of Commerce



NC DEPARTMENT
of COMMERCE
RURAL ECONOMIC
DEVELOPMENT

919-814-4679 office
984-222-4121 mobile
919-715-0567 fax
awilliams@nccommerce.com

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Raleigh, NC, 27699-4348

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Read Messages

Notice of Virtual Public Hearing for the 2019 CAPER and 2020 AAP 2020-06-08 09:11:03 <mailto:32...>

[Click here to view the original message](#)

Good morning,

Attached is the virtual public hearing announcement for the 2019 Consolidated Annual Performance and Evaluation Report (CAPER) and the 2020 Annual Action Plan (AAP). The documents are available for review on the NC Commerce website at www.nccommerce.com and on the NC Housing Finance Agency website at www.ncbfa.com. The virtual public hearing will be held on Monday, June 15, 2020, 2:00 PM - 4:00 PM (EDT). Please join from your computer, tablet or smartphone using the link below or going to www.joinGoToMeeting.com, <http://go2.meeting.com/join/554881085> [go2?sitelinks.protection.outlook.com] You can also dial in using your phone. (For supported devices, tap the one-touch number below to join instantly.) United States: +1 (646) 749-3112

- One-touch: tel:+16467493112,,554881085# Access Code: 554-881-085

Message subject: Notice of Virtual Public Hearing for the 2019 CAPER and 2020 AAP

Attachments:

- [2019 CAPER and 2020 AAP Notice of Virtual Public Hearing \(6/15/20\) \(report-100.pdf\)](#)

Moore, Valerie D

From: Moore, Valerie D
Sent: Tuesday, June 9, 2020 1:05 PM
To: Rhoades, David C
Cc: Payne, Iris C
Subject: Request to Post 2019 CAPER and 2020 AAP Virtual Public Hearing Announcement
Attachments: 2019 CAPER and 2020 AAP Notice of Public Hearing 6_8_2020.pdf

David:

Please post the attached public hearing notice and use the language below as the message body, if needed.

Thank you for your help.

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Access Code: 554 881 085

Please direct all inquires to Valerie D. Moore at Valerie.moore@nccommerce.com or (919) 414-7864.

Valerie

Valerie D. Moore, CDBG Section Chief
North Carolina Department of Commerce



NC DEPARTMENT
of COMMERCE
RURAL ECONOMIC
DEVELOPMENT

(919) 814-4873 (Office)
(919) 414-7864 (Mobile)
valerie.moore@nccommerce.com

301 N. Wilmington Street
4346 Mail Service Center
Raleigh, NC 27699 4346

NOTICE OF VIRTUAL PUBLIC HEARING

AGENCIES: North Carolina Department of Commerce, Rural Economic Development Division
North Carolina Housing Finance Agency
North Carolina Department of Health and Human Services, Division of Aging and Adult Services
North Carolina Department of Health and Human Services, HIV Care Program

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Si necesita esta información en español, por favor póngase en contacto con Valerie Moore 919.414.7864.



Moore, Valerie D

From: Rhoades, David E
Sent: Tuesday, June 9, 2020 1:28 PM
To: Moore, Valerie D
Cc: Payne, Iris C
Subject: RE: Request to Post 2019 CAPER and 2020 AAP Virtual Public Hearing Announcement

OK, Valerie — I've added the public hearing info to our News section, as well as on both document links here:

<https://www.nccommerce.com/documents/draft-2019-cdbg-consolidated-annual-performance-and-evaluation-report-caper>
<https://www.nccommerce.com/documents/draft-nc-2020-consolidated-annual-action-plan>

Best,
David

David Rhoades
Communications Director
North Carolina Department of Commerce



NORTH CAROLINA
**DEPARTMENT of
COMMERCE**

819 814 4811 office
819 218 0542 mobile
drhoades@nccommerce.com

301 North Wilmington Street
4301 Mail Service Center
Raleigh, NC 27699-4301

Email correspondence to and from this address is subject to the North Carolina Public Records Law and may be disclosed to third parties.

From: Moore, Valerie D <valerie.moore@nccommerce.com>
Sent: Tuesday, June 9, 2020 1:05 PM
To: Rhoades, David E <drhoades@nccommerce.com>
Cc: Payne, Iris C <ipayne@nccommerce.com>
Subject: Request to Post 2019 CAPER and 2020 AAP Virtual Public Hearing Announcement

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Access Code: 554-881-085

Please direct all inquiries to Valerie D. Moore at Valerie.moore@nccommerce.com or (919) 414-7864.

Valerie

Valerie D. Moore, CDBG Section Chief
North Carolina Department of Commerce



NC DEPARTMENT
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valerie.moore@nccommerce.com

301 N. Wilmington Street
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Raleigh, NC 27699-4346



1



2



3

1

Consolidated Planning Process

Since 1999, the state has pursued a consolidated planning process that

- Addresses the major housing and community development needs affecting North Carolina communities
- Determines priorities for addressing those needs
- Lays out a strategy for using the resources available

4

Consolidated Planning Process (continued)

The consolidated planning process serves as the framework for a community-wide dialogue to identify housing and community development priorities and allocate state funding from the HUD-funded development programs:

- **COHG**: Community Development Block Grant
- **HOME**: HOME Investment Partnerships
- **NHIF**: National Housing Trust Fund
- **ESG**: Emergency Solutions Grant
- **HOPEW**: Housing Opportunities for Women with AIDS

5

Consolidated Planning Process (continued)

The four agencies that administer these funds are:

- NC Department of Commerce (State Economic Development Corp.)
- NC Housing Finance Agency
- NC Department of Health and Human Services (Division of Aging and Adult Services)
- NC Department of Health and Human Services (State Program)

These four agencies and their HUD-funded programs are working together to meet three goals for the good of North Carolinians. These three basic goals are:

- ✓ Provision of affordable, affordable housing
- ✓ Provision of suitable living conditions
- ✓ Expansion of economic opportunity

6

Purpose of the Public Hearing

Purpose: To present and receive comments on the 2020 Annual Action Plan

The state has prepared its 2020 Annual Action Plan pursuant to the provisions of 24 CFR, Part 92, and will conduct a hearing on the plan, as described in the North Carolina Open Participation Plan.

Note: Copy of the North Carolina Open Participation Plan is available for review at www.ncdhs.gov and www.ncdhs.com



7

Program Year 2020 Funding

In program year 2020, North Carolina will receive **\$82,913,655** divided as follows:

- Community Development Block Grant (CDBG) **\$48,537,764**
- HOME Investment Partnership (HOME) **\$18,171,161**
- National Housing Trust Fund (HTF) **\$7,251,897**
- Emergency Solutions Grant (ESG) **\$5,542,722**
- Housing Opportunities for Persons with AIDS (HOPWA) **\$3,610,021**



8

Community Development Block Grant (CDBG)

Valerie D. Moore
 NC Department of Commerce
 Rural Economic Development Division



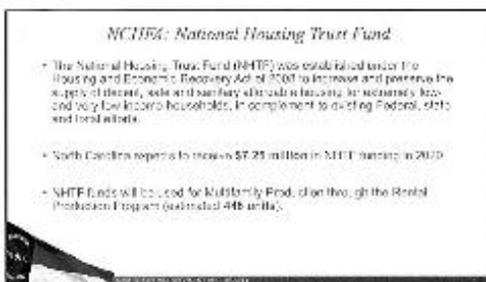
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15

NC-DHHS- HIV Care Program/Housing Opportunities for Persons with AIDS (HOPWA)

Laone R. Lettsome
 NC DHHS-HIV Care Program
 HOPWA

16

NC-DHHS- HIV Care Program – HOPWA

The State HOPWA plan is administered by the North Carolina Department of Health and Human Services, HIV Care Program in the Division of Public Health.

The overall goal of the HOPWA Program is to provide housing and housing-related services to persons/families living with HIV infection in the State of North Carolina. This has traditionally been done through 10 Regional National Centers serving 82 counties. The remaining 18 counties are covered by Metropolitan Statistical Areas (MSAs) that receive direct HOPWA funding from The U.S. Department of Housing and Urban Development (HUD).

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NC-DHHS- HIV Care Program – HOPWA (Continued)

2020 Expected HOPWA Allocation
\$3,610,021.00

The Department of Health and Human Services (DHHS) is pleased to announce that the 2020 expected HOPWA allocation is \$3,610,021.00. This amount is subject to the final determination of HUD. The amount is subject to the final determination of HUD. The amount is subject to the final determination of HUD.

Project Sector Administration (Medium Priority) HOPWA Allocation: \$250,701.41

18

NC-DHHS- HIV Care Program – HOPWA (Continued)

The HOPWA Program is authorized under section 501(c)(4) of the Internal Revenue Code in the following years ending in 2020 with \$5,000,000 of funding for the following purposes:

- **Technical Assistance, Training, and Support** – Support and technical assistance for providers, community-based organizations, and other entities that provide HIV care and support services to people living with HIV/AIDS and their families and loved ones. Technical assistance may include assistance with grant writing, program development, and other activities that are necessary to ensure the effective implementation of the program.
- **Emergency Housing, Utility Assistance, and Other Support** – Emergency services provided to people living with HIV/AIDS and their families and loved ones. These services may include assistance with rent, utility bills, and other expenses that are necessary to ensure the effective implementation of the program.
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19

NC-DHHS- HIV Care Program – HOPWA (Continued)

- **Emergency Housing, Utility Assistance, and Other Support** – Emergency services provided to people living with HIV/AIDS and their families and loved ones. These services may include assistance with rent, utility bills, and other expenses that are necessary to ensure the effective implementation of the program.
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20

NC-DHHS- HIV Care Program – CARES Act HOPWA Supplemental Award for the 2019-2020 Program Year

ICMWA CARES Act award \$525,000,000 in one-time funding

This award is to be used for the following purposes:

- To provide technical assistance, training, and support to providers, community-based organizations, and other entities that provide HIV care and support services to people living with HIV/AIDS and their families and loved ones.
- To provide emergency housing, utility assistance, and other support to people living with HIV/AIDS and their families and loved ones.
- To provide emergency housing, utility assistance, and other support to people living with HIV/AIDS and their families and loved ones.
- To provide emergency housing, utility assistance, and other support to people living with HIV/AIDS and their families and loved ones.

21

ESG Eligible Activities

- **Emergency Response activities include:**
 - Disaster Relief
 - Emergency Shelter
- **System Coordination activities include:**
 - HUD
- **Housing Stability activities include prevention and rapid re-housing through:**
 - Rental Assistance
 - Eviction

Eligible Activities:
The NCEESG Program does not fund for shelter relocation.

25

ESG Goals

- Increase the number of jobs and financial resources for the homeless.
- Increase the number and quality of emergency and transitional shelter services.
- Increase the number of people who are housed.
- Provide emergency shelter services to all homeless people.
- Provide transitional shelter services to all homeless people.
- Provide permanent housing to all homeless people.
- Provide financial assistance to all homeless people.

26

ESG Eligible Activities

- **Emergency Response activities include:**
 - Shelter Services
 - Emergency Shelter (Operations and Services)
- **Housing Stabilization**
 - Rapid Rehousing (Financial Assistance and Services)
 - Rapid Rehousing (Financial Assistance and Services)
- **System Coordination activities include:**
 - HUD-DOJ-DOH-DOE-DOE-DOE

Eligible Activities:
The NCEESG Program does not fund for shelter relocation.

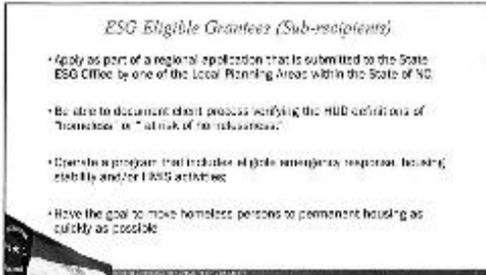
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ESG Funding Priorities

- Funding opportunities for the ESG Program are available for Housing Stability Activities
- The ESG Program will fund eligible projects that use a minimum of 40% of ESG funds for housing stability activities and a maximum of 60% for housing stability
- The ESG Program will make available no less than 20% for other eligible projects

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ESG Eligible Grantees (Sub-recipients)

- Apply as part of a regional application that is submitted to the State ESG Office by one of the Local Planning Areas within the State of NC
- Be able to document client process verifying the HUD definition of "homeless" or "at risk of homelessness"
- Operate a program that includes eligible emergency response, housing stability and/or ESG activities
- Have the goal to move homeless persons to permanent housing as quickly as possible

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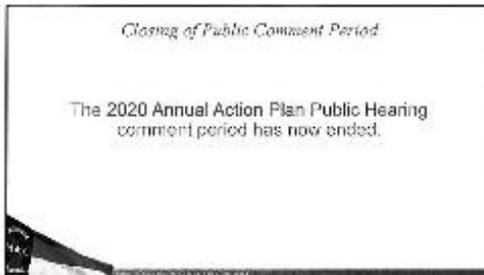
Opening of Public Comment Period

The public hearing is now open for comments. Please make us follow:

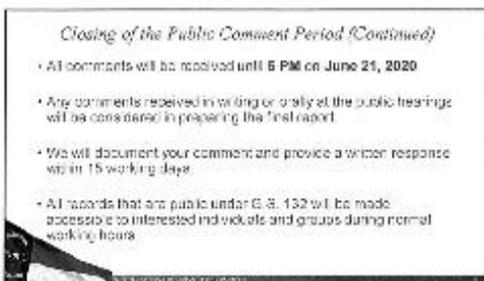
- Arrive if you wish to speak
- Well use ground rules here are outlined below to comment
- Add your name if you are a walk-in participant and wish to speak
- Speak clearly so that we can capture your comment
- Limit your comment to no longer than 4 minutes

As required, we will document your public comment, however, we are not required to record during the public hearing.

30



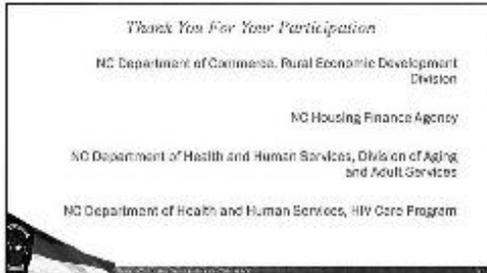
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34

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12

Moore, Valerie D

From: JC Lyle <JCLyle@warmnc.org>
Sent: Sunday, June 21, 2020 6:41 PM
To: Moore, Valerie D
Subject: [External] AAP comments
Attachments: WARM support for AAP.pdf

CAUTION: This email and any attachments are UNCLASSIFIED//FOR OFFICIAL USE ONLY (U//FOUO). All information contained herein is intended for the individual named. If you have received this email by mistake, please notify the sender at 910.399.7563.

Ms. Moore,

Please accept our attached support for the 2020 Annual Action Plan.

Thank you,

~JC

Please note that, due to Covid-19, we will be handling business curbside as much as possible. Call our office at 910.399.7563 upon arrival and someone will be out to meet you at your car.

JC Lyle, MBA
Executive Director

Wilmington Area Rebuilding Ministry, Inc. (WARM)
5058 Wrightsville Avenue
Wilmington NC 28403

910.399.7563 (office)
910.540.5325 (mobile)

www.warmnc.org [www.warmnc.org]
<http://twitter.com/#!/WARMNC> [[twitter.com](http://twitter.com/#!/WARMNC)]
<http://www.facebook.com/WARM.wilmington> [[facebook.com](http://www.facebook.com/WARM.wilmington)]

Small Miracles, Safer Homes



WILMINGTON AREA REBUILDING MINISTRY

5058 WRIGHTSVILLE AVENUE - WILMINGTON, NC - 28403
910.399.7563 - WWW.WARMNC.ORG - INFO@WARMNC.ORG
GENERAL CONTRACTING LICENSE #78118

June 15, 2020

Valerie D. Moore
Attn: Public Comment
Rural Economic Development Division
4346 Mail Service Center
Raleigh, NC 27699-4346

Ms. Moore,

I am writing to express support for the draft 2020 Annual Action Plan.

Wilmington Area Rebuilding Ministry, Inc. (WARM) is a current recipient of HOME funds, administered by the North Carolina Housing Finance Agency (NCHFA) from the Single-Family Rehab Loan Pool (SFRLP). We use these funds for home rehabilitation in three counties: Brunswick, New Hanover, and Pender.

Homeowners served with these funds include elderly, disabled, and military veterans. The type of repairs completed include HVAC replacement, roof replacement, electrical upgrades, plumbing upgrades, floor and window repair and replacement, and appliance replacement. All these items serve to make the homes energy efficient, provide a healthy living environment for its household members, and help low-wealth families retain what is typically their greatest asset.

Applicant income limit is 80% AMI and allowable spending is \$25-30,000 per home. These numbers are higher than many other grant programs and allow WARM to assist homeowners who often "fall through the cracks" because their income is too high. It also allows for more than the average repair, bringing the home up to minimum housing standards.

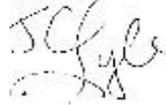
The health benefits for SFRLP approved homeowners are often immeasurable. As old carpeting is removed, leaking roofs are replaced, and drafty windows are changed out, breathing and sleep issues have been reported to have improved. It is commonly reported that children who live in healthy environments have better outcomes in school and report fewer behavioral issues. Repairing stairs and installing railings and grab bars prevent countless home accidents. In fact, studies compiled by NCFHA show that every \$1.00 spent in home repairs can save \$19.00 in healthcare costs.

Fred and Michele are homeowners who have benefited from SFRLP funding. Their heating system and carpeting were original to their home, built in 1971. With the SFRLP funding, WARM was able to replace their inefficient heating system and window air units with an energy efficient split-system and remove the well-worn carpet, replacing it with linoleum flooring. Soon after WARM's work was complete, Fred had a heart and lung transplant. In our follow-up calls with them, they have mentioned many times what an impact the cleaner, cooler air has had on Fred during his recovery. Additionally, their electric bill has decreased, freeing up a bit more money for their frequent trips to Fred's doctors at Duke University.

The neighborhoods in which the homes are located benefit as well. For the homes to qualify for the loan pool, a comparable property analysis must be completed. Repairs to the home must at least help to maintain the home's value but may not value it out of its neighborhood's overall tax range. As neighbors see neighbors' homes improving, WARM has discovered improvements throughout the neighborhood are often contagious.

WARM's current waiting list has qualified homeowners for the SFLRP in Brunswick, New Hanover, and Pender counties. We look forward to a continued partnership with NCHFA and support the Annual Action Plan for 2020. Feel free to contact me directly with any questions or additional comments about the impact of SFLRP.

Sincerely,



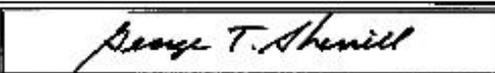
JC Lyle, MBA
Executive Director
Wilmington Area Rebuilding Ministry, Inc.
JCLyle@warmnc.org
910.540.5326 (mobile)

Grantee SF-424's and Certification(s)

OMB Number: 690-004
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424			
* 1. Type of Submission:		* 2. Type of Application:	
<input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		<input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	
		* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>	
* 3. Date Received:		4. Applicant Identifier:	
<input type="text"/>		<input type="text"/>	
6a. Federal Entity Identifier:		5b. Federal Award Identifier:	
<input type="text"/>		<input type="text"/>	
State Use Only:			
6. Date Received by State:		7. State Application Identifier:	
<input type="text"/>		<input type="text"/>	
8. APPLICANT INFORMATION:			
* a. Legal Name: North Carolina Department of Commerce			
* b. Employer/Taxpayer Identification Number (E/TIN):		* c. Organizational DUNS:	
SF 1611043		032631415000	
* d. Address:			
* Street1:	301 E. Willington Street		
* Street2:	450 Mail Service Center		
* City:	Raleigh		
* County/Parish:	Wake		
* State:	NC: North Carolina		
* Province:	<input type="text"/>		
* Country:	USA: UNITED STATES		
* Zip/Postal Code:	27601-4346		
* e. Organizational Unit:			
Department Name:		Division Name:	
North Carolina Commerce		Rural Economic Development	
* f. Name and contact information of person to be contacted on matters involving this application:			
* Prefix:	Mr.	* First Name:	Iris
* Middle Name:	<input type="text"/>		
* Last Name:	Payne		
* Suffix:	<input type="text"/>		
* Title:	State CDBG Program Director		
* Organizational Affiliation:			
State Government			
* Telephone Number:	(919) 814-4463	* Fax Number:	(919) 715-0567
* Email:	irispayne@commerce.nc.gov		

Application for Federal Assistance SF-424	
<p>* 9. Type of Applicant 1: Select Applicant Type:</p> <input type="text" value="State Government"/>	
<p>Type of Applicant 2: Select Applicant Type:</p> <input type="text"/>	
<p>Type of Applicant 3: Select Applicant Type:</p> <input type="text"/>	
<p>* Other (specify):</p> <input type="text"/>	
<p>* 10. Name of Federal Agency:</p> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<p>11. Catalog of Federal Domestic Assistance Number:</p> <input type="text" value="14.219"/>	
<p>CFDA Title:</p> <input type="text" value="Community Development Block Grant (CDBG)/State/Small Cities"/>	
<p>* 12. Funding Opportunity Number:</p> <input type="text"/>	
<p>* Title:</p> <input type="text"/>	
<p>13. Competition Identification Number:</p> <input type="text"/>	
<p>Title:</p> <input type="text"/>	
<p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <input type="text"/> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>	
<p>* 15. Descriptive Title of Applicant's Project:</p> <input type="text" value="The FY2020 CDBG funds will be administered by the State of North Carolina to support economic development, public infrastructure, and neighborhood revitalization/housing activities."/>	
<p>Attach supporting documents as specified in agency instructions.</p> <div style="display: flex; justify-content: space-around;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="EC-04"/>	* b. Program/Project: <input type="text" value="ACL"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="10/01/2020"/>	* b. End Date: <input type="text" value="06/30/2021"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="48,537,754.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="48,537,754.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach <input type="text"/>	
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions	
Authorized Representative:	
Prefix: <input type="text" value="Dr."/>	* First Name: <input type="text" value="George"/>
Middle Name: <input type="text" value="T."/>	
* Last Name: <input type="text" value="Sherrill"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Chief of Staff"/>	
* Telephone Number: <input type="text" value="(610) 814-4670"/>	Fax Number: <input type="text" value="(610) 715-3067"/>
* Email: <input type="text" value="gsherrill@ndc.state.pa.us"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="06/01/2020"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-0009
Expiration Date: 02/29/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0346-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant

1. I have the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4753) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1625-1628), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-253), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 cc 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 424D (Rev. 7-97)
Prescribed by GMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-645) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Chief of Staff
APPLICANT ORGANIZATION	DATE SUBMITTED
North Carolina Department of Commerce	06/17/2020

SF-424D (Rev. 7-07) Back

STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

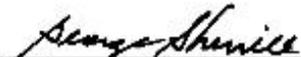
Anti-Lobbying -- To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of State -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the State's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.


Signature of Authorized Official

6/29/2020
Date


Title

Specific Community Development Block Grant Certifications

The State certifies that:

Citizen Participation -- It is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

Consultation with Local Governments --

1. It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
2. It engages in or will engage in planning for community development activities;
3. It provides or will provide technical assistance to units of local government in connection with community development programs; and
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

Local Needs Identification -- It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available.
2. **Overall Benefit.** In the aggregate, not less than 70 percent of the CDBG funds, including Section 108 guaranteed loans, received by the State during the following fiscal year(s) PY 2020 [a period designated by the State of one, two, or three specific consecutive fiscal year(s)] will be used for activities that benefit persons of low and moderate income.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG Funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Compliance with Laws -- It will comply with applicable laws.

George Shanell
Signature of Authorized Official

4/29/2020
Date

Chief of Staff
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



STATE OF NORTH CAROLINA
DEPARTMENT OF HEALTH AND HUMAN SERVICES

ROY COOPER
GOVERNOR

MANDY COHEN, MD, MPH
SECRETARY

July 22, 2019

Memorandum

From: Mandy Cohen, MD, MPH *MC*
Secretary

Re: Delegation of Authority

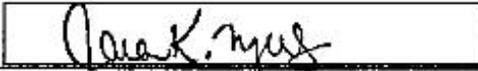
As of this date, I am delegating my signature authority to Susan Perry-Manning, Principal Deputy Secretary; Rob Kindsvatter, Chief Financial Officer; Dave Richard, Deputy Secretary, NC Medicaid; Sam Gibbs, Deputy Secretary for Technology and Operations; Ben Money, Deputy Secretary for Health Services; Tara Myers, Deputy Secretary for Human Services; and Kody Kinsley, Deputy Secretary for Behavioral Health & Intellectual and Development Disabilities for the Department of Health and Human Services. During such times as I designate, Ms. Perry-Manning, Mr. Kindsvatter, Mr. Richard, Mr. Gibbs, Mr. Money, Ms. Myers or Mr. Kinsley may have the authority to sign official Departmental documents for which my signature is required.

Also, I give delegating authority to Mr. Mark Benton, Assistant Secretary for Public Health, to sign matters related to the Division of Public Health, such as grant activity, its sources/amounts, where it may align with our department initiatives, etc.

Any such documents will have the same force and authority as if they had been signed by me.

Such authority continues until revoked by me, either orally or in writing.

WWW.NCDHHS.GOV
TEL: 919-855-4800 • FAX 919-715-4645
LOCATION: 101 BLAIR DRIVE • ADAMS BUILDING • RALEIGH, NC 27603
MAILING ADDRESS: 2001 MAIL SERVICE CENTER • RALEIGH, NC 27603-2001
AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="DC-04"/>	* b. Program/Project: <input type="text" value="A11"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="06/01/2020"/>	* b. End Date: <input type="text" value="04/30/2022"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="5,342,722.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="5,342,722.00"/>
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<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
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<input type="checkbox"/> I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Dr."/>	* First Name: <input type="text" value="Sandy"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Cohen"/>	
Suffix: <input type="text" value="M.D."/>	
* Title: <input type="text" value="Secretary, NCDHEB"/>	
* Telephone Number: <input type="text" value="410-526-1400"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="sandy.cohen@ncdhe.nc.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="5/13/2020"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4043-0009
Expiration Date: 02/20/2022

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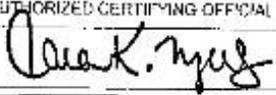
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
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7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4720-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 500, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1693, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255) as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616) as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§280 ad-3 and 250 et 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.) as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1506 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 15 U.S.C. §674), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-204) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11890; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523) and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1966 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.)
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
 <small>David K. Myers</small>	OMB Deputy Secretary
APPLICANT ORGANIZATION	DATE SUBMITTED
OMB	4/16/2020

SF-121D (Rev. 7-97) Back

ESG Certifications

Each State that seeks funding under the Emergency Solutions Grants Program must provide the following certifications:

Matching Funds – The State will obtain any matching amounts required under 24 CFR 576.201 in a manner so that its subrecipients that are least capable of providing matching amounts receive the benefit of the exception under 24 CFR 576.201(a)(2).

Discharge Policy – The State will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Confidentiality – The State will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

The State will ensure that its subrecipients comply with the following criteria:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

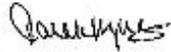
Essential Services and Operating Costs – If ESG funds are used for shelter operations or essential services related to street outreach or emergency shelter, the subrecipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the applicant serves the same type of persons (e.g., families with children, unaccompanied youth, veterans, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The subrecipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

Homeless Persons Involvement – To the maximum extent practicable, the subrecipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted ESG.

Consolidated Plan – All activities the subrecipient undertakes with assistance under ESG are consistent with the State's current IFUD-approved consolidated plan.



5/14/2020

Signature/Authorized Official

Date

DHHS Deputy Secretary

Title

STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying -- To the best of the State's knowledge and belief:

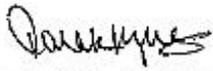
1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards or all tiers (including subcontracts, subgrants, and contracts

under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of State -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Signature/Authorized Official

DHHS Deputy Secretary

Title

5/14/2020

Date

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* 3. Date Received: _____		
4. Applicant Identifier: H14-00570100 (State of NC)		
5a. Federal Entity Identifier: H14-00570100		5b. Federal Award Identifier: H14-55570100
State Use Only: 6. Date Received by State: _____ 7. State Application Identifier: _____		
B. APPLICANT INFORMATION:		
* a. Legal Name: North Carolina Housing Finance Agency (State of NC)		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 86-1700536		* c. Organizational OMB: 007849530
d. Address:		
* Street1: 1503 Bush Street		
Street2: P O Box 20066		
* City: Raleigh		
County/Parish: Wake		
* State: NC: North Carolina		
Province: _____		
* Country: USA: UNITED STATES		
* Zip / Postal Code: 27611-8066		
e. Organizational Unit:		
Department Name: NC Housing Finance Agency		Division Name: _____
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Mr. * First Name: Patricia		
Middle Name: _____		
* Last Name: Brand		
Suffix: _____		
Title: Dir. of Research/Information/Reg. Strategies		
Organizational Affiliation: State of NC (HDFHA)		
* Telephone Number: (919) 877-5717		Fax Number: (919) 877-5701
* Email: pbrand@ncdhfa.com		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="State Government"/> Type of Applicant 2: Select Applicant Type: <input type="text"/> Type of Applicant 3: Select Applicant Type: <input type="text"/> * Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14-239"/> CFDA Title: <input type="text" value="HOME Investment Partnerships Program"/>	
* 12. Funding Opportunity Number: <input type="text"/> Title: <input type="text"/>	
13. Competition Identification Number: <input type="text"/> Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="State administered HOME Program"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="NC-034"/>	* b. Program/Project: <input type="text" value="All"/>
Attach an additional list of Program/Project Congressional Districts (if needed).	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Cancel Attachment"/> <input type="button" value="View Attachments"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="02/01/20"/>	* b. End Date: <input type="text" value="12/31/20"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="18371161"/>
* b. Applicant	<input type="text" value="0"/>
* c. State	<input type="text" value="0"/>
* d. Local	<input type="text" value="0"/>
* e. Other	<input type="text" value="0"/>
* f. Program Income	<input type="text" value="13000000"/>
* g. TOTAL	<input type="text" value="31,171,161"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach:	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/>
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="David"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Farrar"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Executive Director"/>	
* Telephone Number: <input type="text" value="(819) 906-0072"/>	Fax Number: <input type="text" value="(819) 877-5761"/>
* Email: <input type="text" value="dfarrar@ncfa.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="05/15/20"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

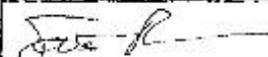
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or amounts to the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4753) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
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Prescribed by OMB Circular A-102

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18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Executive Director
APPLICANT ORGANIZATION	DATE SUBMITTED
North Carolina Housing Finance Agency	06/26/2020

SH-4241 (Rev. 7-07) Back

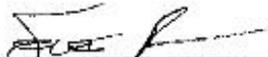
Specific HOME Certifications

The State certifies that:

Tenant Based Rental Assistance -- If it plans to use HOME funds for tenant-based rental assistance, tenant-based rental assistance is an essential element of the State's consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy Layering -- Before committing any funds to a project, the State or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.



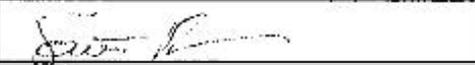
Signature of Authorized Official

5/15/20
Date

Executive Director
Title

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* If Revised, select appropriate category: <input type="text"/> * Other (Specify): <input type="text"/>		
* 3. Date Received: <input type="text"/>		4. Applicant Identifier: <input type="text" value="F-20-SG-37-0100 (STATE OF NC)"/>
5a. Federal Entity Identifier: <input type="text" value="F-20-SG-37-0100"/>		5b. Federal Award Identifier: <input type="text" value="F-20-SG-37-0100"/>
State Use Only:		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="North Carolina Housing Finance Agency (State of NC)"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="56-1790536"/>		* c. Organizational DUNS: <input type="text" value="007049508"/>
d. Address:		
* Street: <input type="text" value="3508 Bush Street"/>		
Street2: <input type="text" value="P O Box 28068"/>		
* City: <input type="text" value="Raleigh"/>		
County/Parish: <input type="text" value="Wake"/>		
* State: <input type="text" value="NC: NORTH CAROLINA"/>		
Province: <input type="text"/>		
* Country: <input type="text" value="USA: UNITED STATES"/>		
* Zip/Postal Code: <input type="text" value="27611"/>		
e. Organizational Unit:		
Department Name: <input type="text" value="NC Housing Finance Agency"/>		Division Name: <input type="text"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Ms."/>		* First Name: <input type="text" value="PATRICIA"/>
Middle Name: <input type="text"/>		
* Last Name: <input type="text" value="KREUC"/>		
Suffix: <input type="text"/>		
TITLE: <input type="text" value="Dir. of Research/Information/Reg. Strategies"/>		
Organizational Affiliation: <input type="text" value="State of NC (KCHESA)"/>		
* Telephone Number: <input type="text" value="(919) 877-5419"/>		Fax Number: <input type="text" value="(919) 877-5201"/>
* Email: <input type="text" value="pkreuc@nchfa.com"/>		

Application for Federal Assistance SF-424	
<p>* 9. Type of Applicant 1: Select Applicant Type:</p> <input type="text" value="As State Government"/> <p>Type of Applicant 2: Select Applicant Type:</p> <input type="text"/> <p>Type of Applicant 3: Select Applicant Type:</p> <input type="text"/> <p>* Other (specify):</p> <input type="text"/>	
<p>* 10. Name of Federal Agency:</p> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<p>11. Catalog of Federal Domestic Assistance Number:</p> <input type="text" value="14-275"/> <p>CFDA Title:</p> <input type="text" value="Rising Trust Fund"/>	
<p>* 12. Funding Opportunity Number:</p> <input type="text"/> <p>Title:</p> <input type="text"/>	
<p>13. Competition Identification Number:</p> <input type="text"/> <p>Title:</p> <input type="text"/>	
<p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <input type="text"/> <div style="display: flex; justify-content: space-around;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>	
<p>* 15. Descriptive Title of Applicant's Project:</p> <input type="text" value="The RTF funding will be used to increase the number of rental units developed that are affordable to households earning 30% or less than ARI as well as households with special needs."/>	
<p><small>Attach supporting documents as specified in agency instructions.</small></p> <div style="display: flex; justify-content: space-around;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="NC 004"/>	* b. Program/Project: <input type="text" value="511"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Cancel Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="01/01/20"/>	* b. End Date: <input type="text" value="12/31/20"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="7251897"/>
* b. Applicant	<input type="text" value="0"/>
* c. State	<input type="text" value="0"/>
* d. Local	<input type="text" value="0"/>
* e. Other	<input type="text" value="0"/>
* f. Program Income	<input type="text" value="0"/>
* g. TOTAL	<input type="text" value="7251897"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. The application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> .	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach:	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Cancel Attachment"/> <input type="button" value="View Attachment"/>
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement, or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Betsy"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Betzner"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Executive Director"/>	
* Telephone Number: <input type="text" value="1919 906-0992"/>	* Fax Number: <input type="text" value="1919 871-5761"/>
* Email: <input type="text" value="bsetzner@nccha.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="5/15/20"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

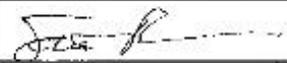
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4753) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4601 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1881-1883, and 1685-1686) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 ad-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Useful

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Standard Form 424D (Rev. 7-87)
Prescribed by GSA Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 19 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-332) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 100(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11614, (b) notification of violating facilities pursuant to EO 11738, (c) protection of wetlands pursuant to EO 11990, (d) evaluation of flood hazards in floodplains in accordance with EO 11988, (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.), (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
15. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), E.O. 11693 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§486a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Executive Director
APPLICANT ORGANIZATION	DATE SUBMITTED
North Carolina Housing Finance Agency	6/28/2020

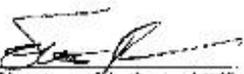
8F-424D (Rev. 7-87) Back

Specific HTF Certifications

The State certifies that:

Eligible Activities and Costs -- It is using and will use HTF funds for eligible activities and costs, as described in 24 CFR §93.730 through §93.732 and that it is not using and will not use HTF funds for prohibited activities, as described in §93.735.

Subsidy Layering -- Before committing any funds to a project, the State or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HTF funds in combination with other Federal assistance than is necessary to provide affordable housing.



Signature of Authorized Official

5/15/20
Date

Executive Director

Title

**Certification of Consistency
with the Consolidated Plan**

U.S. Department of Housing
and Urban Development

I certify that the proposed activities/projects in the application are consistent with the jurisdiction's current, approved CCA (Type or Consolidated Plan).
(clearly point the following information):

Applicant Name: North Carolina Housing Finance Agency

Project Name: _____

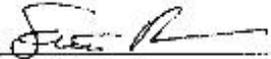
Location of the Project: _____

Name of the Federal Program to which the applicant is applying: Housing Trust Fund

Name of Certifying Jurisdiction: State of North Carolina

Certifying Official of the Jurisdiction Name: Scott Farmer

Title: Executive Director, North Carolina Housing Finance Agency

Signature: 

Date: 5/15/20



STATE OF NORTH CAROLINA
DEPARTMENT OF HEALTH AND HUMAN SERVICES

ROY COOPER
GOVERNOR

MANDY COHEN, MD, MPH
SECRETARY

July 22, 2019

Memorandum

From: Mandy Cohen, MD, MPH *MC*
Secretary

Re: Delegation of Authority

As of this date, I am delegating my signature authority to Susan Perry-Manning, Principal Deputy Secretary; Rob Kindsvatter, Chief Financial Officer; Dave Richard, Deputy Secretary, NC Medicaid; Sam Gibbs, Deputy Secretary for Technology and Operations; Ben Money, Deputy Secretary for Health Services; Tara Myers, Deputy Secretary for Human Services; and Kody Kinsley, Deputy Secretary for Behavioral Health & Intellectual and Development Disabilities for the Department of Health and Human Services. During such times as I designate, Ms. Perry-Manning, Mr. Kindsvatter, Mr. Richard, Mr. Gibbs, Mr. Money, Ms. Myers or Mr. Kinsley may have the authority to sign official Departmental documents for which my signature is required.

Also, I give delegating authority to Mr. Mark Benton, Assistant Secretary for Public Health, to sign matters related to the Division of Public Health, such as grant activity, its sources/amounts, where it may align with our department initiatives, etc.

Any such documents will have the same force and authority as if they had been signed by me.

Such authority continues until revoked by me, either orally or in writing.

WWW.NCDHHS.GOV
TEL 919-855-4800 • FAX 919-715-4645
LOCATION: 101 BLAIR DRIVE • ADAMS BUILDING • RALEIGH, NC 27603
MAILING ADDRESS: 7001 MAIL SERVICE CENTER • RALEIGH, NC 27699-2001
AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Change/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	* 3. Revision, select appropriate letter(s): _____ * Other (Specify): _____
* 3. Date Received: _____	4. Applicant Identifier: _____	
5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: RCH23-P938	
State Use Only:		
6. Date Received by State: _____	7. State Application Identifier: _____	
B. APPLICANT INFORMATION:		
* A. Legal Name: <u>NC Department of Health and Human Services</u>		
* B. Employer/Taxpayer Identification Number (EIN/TIN): <u>561616462</u>	* C. Organizational DUNS: <u>8091654610000</u>	
* d. Address:		
* Street1: <u>2001 Hall Service Center</u>	_____	
Street2: _____	_____	
* City: <u>Raleigh</u>	_____	
County/Parish: _____	_____	
* State: _____	NC: North Carolina	
Province: _____	_____	
* Country: _____	USA: UNITED STATES	
* Zip / Post Office Code: <u>27699-2001</u>	_____	
* e. Organizational Unit:		
Department Name: _____	Division Name: _____	
* f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: _____	* First Name: <u>Barbara</u>	_____
Middle Name: _____	_____	
* Last Name: <u>Ward</u>	_____	
Suffix: _____	_____	
Title: _____		
Organizational Affiliation: _____		
* Telephone Number: <u>919.707.5095</u>	Fax Number: _____	
* Email: <u>barward@dhhs.nc.gov</u>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
A: State Government:	<input type="text"/>
Type of Applicant 2: Select Applicant Type:	<input type="text"/>
Type of Applicant 3: Select Applicant Type:	<input type="text"/>
* Other (Specify):	<input type="text"/>
* 10. Name of Federal Agency:	
<input type="text"/>	
11. Catalog of Federal Domestic Assistance Number:	
<input type="text"/>	
CFDA Title:	<input type="text"/>
* 12. Funding Opportunity Number:	
<input type="text"/>	
* Title:	<input type="text"/>
13. Competition Identification Number:	
<input type="text"/>	
Title:	<input type="text"/>
14. Areas Affected by Project (Cities, Counties, States, etc.):	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
* 15. Descriptive Title of Applicant's Project:	
<input type="text"/>	
Attach supporting documents as specified in agency instructions.	
<input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant: * b. Program/Project:

17. Proposed Project:

* a. Start Date: * b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="3,204,956.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="3,204,956.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on .

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes," provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil or administrative penalties. (U.S. Code, Title 28, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: First Name:

Middle Name:

Last Name:

Suffix:

Title:

Telephone Number: Fax Number:

Email:

Signature: *Mandy T. Cohen* * Date Signed: 8:04 PM CD

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0038
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

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NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances, if such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to insure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision of the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
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8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 16 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 600, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1585-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 d-f-3 and 290 e-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Prebook Edition Usage

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Standard Form 424D (Rev. 7-87)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a to 276e-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. § 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of land hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1990, as amended (42 U.S.C. §§ 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 103(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
DocuSigned by: <i>Mark T. Gombor</i>		Secretary	
APPLICANT ORGANIZATION		DATE SUBMITTED	
OH: Department of Health and Human Services		07/30/2020 11:19 AM EDT	

3F-424D (Rev. 7-07) Back

Housing Opportunities for Persons with AIDS (HOPWA) Certifications

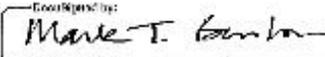
The State of North Carolina's HOPWA grantee certifies that:

Activities – Activities funded under the HOPWA program will meet urgent housing and supportive services needs that are not being met by available public and private sources.

Building – Any building or structure assisted with HOPWA program funds shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance;
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Affirmatively Furthering Fair Housing – The NC HOPWA Grantee and its Sub-recipients shall take meaningful actions, in addition to combating discrimination, that overcome patterns of segregation and foster inclusive communities free from barriers that restrict access to opportunity based on protected characteristics. Specifically, affirmatively furthering fair housing means to take meaningful actions that, taken together, address significant disparities in housing needs and in access to opportunity, replacing segregated living patterns with truly integrated and balanced living patterns, transforming racially and ethnically concentrated areas of poverty into areas of opportunity, and fostering and maintaining compliance with civil rights and fair housing laws. The duty to affirmatively further fair housing extends to all of a program participant's activities and programs relating to housing and urban development.

By: 
Mandy Cohen, Secretary
NC Department of Health and Human Services

5/19/2020 1 8:04 PM EDT
Date

N/A

EXPEDITE

Grant Application Checklist

GRANT INFORMATION

Grant Name: 2020 HOPWA 424 5-18-20.pdf; HOPWA Certification 5-18-20.docx; Signed Signature

Date Due to Funder: *MAY 21, 2020*

New FTEs: Yes No

SNR *5-18-2020*

CHECKLIST

- 908A Received
- Pro Forma Completed
- Grant Meeting Held
- Grant Application Received
- Reviewed Notice of Funding Opportunity
- Inserted Pro Forma in Application Folder
- Reviewed Transmittal Letter
- Reviewed 424
- Checked for Project Summary
- Checked for Lobbying Disclosures
- Checked for Project Narrative
- Checked for 424A
- Checked for Budget Narrative
- Verified all calculations in Budget Narrative
- Verified Budget Category Amounts match 424A Category Amounts
- Sent to Supervisor

Date Sent to Program:

Date:

Date: *5-18-2020*

[Signature]

5/18/2020 | 2:12 PM EDT



[Signature]

5/18/2020 | 3:06 PM EDT

[Signature]

5/19/2020 | 7:58 PM EDT

EXPEDITE

Application for Federal Assistance SF-424		
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	*2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	*3. Revision, select appropriate category: _____ * Other (Specify): _____
*3. Date Received 05/15/2020	4. Applicant Identifier _____	
5a. Federal Entity Identifier _____	5b. Federal Award Identifier 01500370001	
State Use Only:		
6. Date Received by State _____	7. State Application Identifier _____	
B. APPLICANT INFORMATION:		
* a. Legal Name: North Carolina Department of Health and Human Services		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 50-1836632	* c. Organizational DUNS: 0697851030002	
d. Address:		
* Street: 2101 Hall Service Center	Street2: _____	
* City: Raleigh	City2: _____	
* County/Parish: _____	County/Parish2: _____	
* State: NC: North Carolina	State2: _____	
* Province: _____	Province2: _____	
* Country: USA: UNITED STATES	Country2: _____	
* Zip / Postal Code: 27695-2101	Zip / Postal Code2: _____	
e. Organizational Unit:		
Department Name: North Carolina DHS	Division Name: Aging and Adult Services	
f. Name and contact information of person to be contacted on matters involving this application:		
* First Name: Joseph	* Last Name: Brown	
* Middle Name: J.	* Title: Section Chief Planning/ESG Section	
* Suffix: _____	* Organizational Address: 2000HS-Division of Aging and Adult Services	
* Telephone Number: 919-855-3475	* Fax Number: _____	
* Email: joseph.brown@dhs.nc.gov		

Application for Federal Assistance SF-424	
* 9. Type of Applicant *: Select Applicant Type: <input type="text" value="State Government"/> Type of Applicant 2. Select Applicant Type. <input type="text"/> Type of Applicant 3. Select Applicant Type. <input type="text"/> * Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="US Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.233"/> CFDA Title <input type="text"/>	
* 12. Funding Opportunity Number: <input type="text"/> * Title <input type="text"/>	
13. Competition Identification Number: <input type="text"/> Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="This grant from the HUD HOME/ESG program, administered by NCDHHS, provides homelessness support for shelter, rapid rehousing, homelessness prevention, case management and HHS programs."/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	