



NCWorks Commission

NCWorks Commission Policy Statement Number: CPS 06-2022

Date: May 11, 2022

**Subject: Workforce Innovation and Opportunity Act (WIOA)
Monitoring/Oversight of Local Area Workforce
Development Boards (WDBs)**

From:

Tom B. Rabon, Jr.
Chair, NCWorks Commission

Purpose: The purpose of this policy is to issue guidance regarding state and local level fiscal and programmatic monitoring requirements under the WIOA; primarily Title I Adult, Dislocated Worker, and Youth funds.

Background: The State monitoring system must:

- Provide for annual on-site monitoring reviews of each WDB to ensure compliance with 2 CFR § 200, as required by WIOA Section 184(a)(3);
- Ensure that established policies to achieve program quality and outcomes meet the objectives of WIOA and WIOA regulations;
- Enable the Governor to determine if subrecipients have demonstrated substantial compliance with WIOA requirements;
- Enable the Governor to determine whether a local Plan will be disapproved for failure to make acceptable progress in addressing deficiencies as required in WIOA section 108(e)(1);
- Enable the Governor to ensure compliance with the nondiscrimination, disability and equal opportunity requirements of WIOA Section 188, including the Assistive Technology Act of 1998 (29 U.S.C. 3003); and
- Monitor the activities of the subrecipient as necessary to ensure that the subaward is used for authorized purposes, in compliance with Federal Statutes, regulations, and the terms and conditions of the subaward; and that subaward performance goals are achieved.

Action: Periodic monitoring of WDB subrecipients is not only a regulatory requirement ensuring the staff of subrecipients are informed about program

policy and regulations, but it is also a tool that can be used to support the subrecipients with technical assistance as necessary. Information on the specific monitoring topics and the frequency with which monitoring must be completed is detailed in Attachment 1: Local Area Workforce Development Board Remote and/or Onsite Monitoring and Frequency Guide.

Effective Date: Immediately

Expiration: Indefinite

Contact: DWS Program Monitor

Attachments:

1. Local Area Workforce Development Board Remote and/or Onsite Monitoring and Frequency Guide
2. Oversight and Monitoring of the One-Stop Operator

Rescinded